

PROGRAM III: INFRASTRUCTURE & ENVIRONMENTAL RESOURCES

SUMMARY OF APPROPRIATIONS AND REVENUES

| Agency | Agency Name | FY 2001-2002 Appropriations | FY 2001-2002 Revenue | FY 2001-2002 Net County Cost |
|---------------------------|--|--------------------------------|-------------------------|---------------------------------|
| 034 | WATERSHED MANAGEMENT PROGRAM | 11,855,843 | 11,461,734 | 394,109 |
| 040 | UTILITIES | 19,506,672 | 1,016,474 | 18,490,198 |
| 071 | PLANNING & DEVELOPMENT SERVICES | 11,925,236 | 8,803,827 | 3,121,409 |
| 080 | PUBLIC FACILITIES & RESOURCES | 40,939,820 | 30,558,227 | 10,381,593 |
| GENERAL FUND TOTAL | | 84,227,571 | 51,840,262 | 32,387,309 |
| | | | | |
| 106 | COUNTY TIDELANDS - NEWPORT BAY | 2,548,779 | 2,548,779 | 0 |
| 108 | COUNTY TIDELANDS - DANA POINT | 20,159,846 | 20,159,846 | 0 |
| 113 | BUILDING AND SAFETY | 19,237,808 | 19,237,808 | 0 |
| 114 | FISH AND GAME PROPAGATION | 50,846 | 50,846 | 0 |
| 115 | ROAD | 80,939,150 | 80,939,150 | 0 |
| 117 | OC HOUSING AUTHORITY-OPERATING RESERVE | 8,240,529 | 8,240,529 | 0 |
| 119 | PUBLIC LIBRARY - CAPITAL | 3,453,881 | 3,453,881 | 0 |
| 120 | PUBLIC LIBRARY | 30,011,886 | 30,011,886 | 0 |
| 128 | SURVEY MONUMENT PRESERVATION | 96,851 | 96,851 | 0 |
| 129 | OFF-HIGHWAY VEHICLE FEES | 190,777 | 190,777 | 0 |
| 137 | PARKING FACILITIES | 4,916,576 | 4,916,576 | 0 |
| 140 | AIR QUALITY IMPROVEMENT | 334,423 | 334,423 | 0 |
| 148 | FOOTHILL CIRCULATION PHASING PLAN | 7,580,073 | 7,580,073 | 0 |
| 15G | HOUSING/COMMUNITY DEVELOPMENT | 48,301,040 | 48,301,040 | 0 |
| 15K | LIMESTONE REGIONAL PARK MITIGATION MAINT ENDOW | 35,759 | 35,759 | 0 |
| 280 | AIRPORT - OPERATING | 141,941,538 | 141,941,538 | 0 |
| 283 | JOHN WAYNE AIRPORT DEBT SERVICE | 56,692,705 | 56,692,705 | 0 |
| 285 | IWMD BANKRUPTCY RECOVERY PLAN | 18,810,965 | 18,810,965 | 0 |
| 299 | INTEGRATED WASTE MGMT DEPT ENTERPRISE | 266,735,922 | 266,735,922 | 0 |
| 400 | FLOOD CONTROL DISTRICT | 81,651,628 | 81,651,628 | 0 |
| 403 | SANTA ANA RIVER ENVIRONMENTAL ENHANCEMENT | 229,092 | 229,092 | 0 |
| 404 | FLOOD CONTROL DISTRICT-CAPITAL | 72,802,437 | 72,802,437 | 0 |
| 405 | HARBORS, BEACHES & PARKS CSA 26 | 73,905,967 | 73,905,967 | 0 |
| 458 | COUNTY SERVICE AREA #4 -LEISURE WORLD | 689,376 | 689,376 | 0 |
| 459 | N. TUSTIN LANDSCAPE & LIGHTING ASSESSMENT DISTRICT | 985,807 | 985,807 | 0 |
| 468 | COUNTY SERVICE AREA #13 -LA MIRADA | 6,534 | 6,534 | 0 |
| 475 | COUNTY SERVICE AREA #20 - LA HABRA | 13,703 | 13,703 | 0 |
| 477 | COUNTY SERVICE AREA #22-E YORBA LINDA | 33,790 | 33,790 | 0 |
| 506 | IRVINE COAST ASSESSMENT DISTRICT 88-1 CONSTRUCTION | 33,299,720 | 33,299,720 | 0 |

SUMMARY OF APPROPRIATIONS AND REVENUES (Continued)

| | | FY 2001-2002 | FY 2001-2002 | FY 2001-2002 |
|--------|---|----------------|---------------|-----------------|
| Agency | Agency Name | Appropriations | Revenue | Net County Cost |
| 9A0 | DEBT SERVICE | 62,016,747 | 62,016,747 | 0 |
| | NON-GENERAL FUND TOTAL | 1,035,914,155 | 1,035,914,155 | 0 |
| | TOTAL INFRASTRUCTURE & ENVIRONMENTAL RESOURCES | 1,120,141,726 | 1,087,754,417 | 32,387,309 |



071 - PLANNING & DEVELOPMENT SERVICES

Operational Summary

Agency Description:

The Planning and Development Services Department (PDSD) is a team of dedicated professionals who serve the planning and development entitlement requirements of private and public project applicants within unincorporated areas. Our planners, engineers, technicians, and administrative support personnel serve both the current and, through stewardship of the physical environment, future residents of Orange County.

Key Outcome Measures:

| Performance Measure | FY 2000-2001 Results | FY 2001-2002 Target | How are we doing? |
|---|---|---|--|
| RECEIVE BOARD OF SUPERVISORS APPROVAL OF GENERAL PLAN AND ZONING CODE UPDATE. What: The General Plan serves as the jurisdiction's "constitution" as far as development is concerned. Why: Guide future physical development of the County. | All General Plan elements except Housing are complete. Latest Zoning Code update is complete. | Complete Housing element update by April 2001. Continue periodic updates of the Zoning Code as necessary. | Excellent, as the General Plan and Zoning updates were completed. |
| ACHIEVE 95% CUSTOMER SATISFACTION RATING BY AUGUST 2001. What: PDSD is currently investing in Intranet Development Processing Center Customer Service Evaluation. Why: This indicator determines how well PDSD is serving public/development community clients. | 95% Customer satisfaction rating achieved in latest survey. | Continue to survey Customer Satisfaction and strive for 95% rating level. | On target for measurement outcome in August 2001. |
| ESTABLISH A STANDARDIZED PDSD PROFESSIONAL DEVELOPMENT PROGRAM FOR DEPARTMENT STAFF BY JUNE 2001. What: Hands on weekly Microsoft Office Suite 2000 training - Word, Excel, PowerPoint, Outlook & Access. Why: Training is key to improving employee efficiency & realizing goals set in PDSD's Business Plan. | Board of Supervisors authorized funding for help desk (including training coordinator) on December 5, 2000. | Recruit and hire training coordinator by end of first quarter 2001. | Computer training is in progress; overall classes are at maximum capacity. |
| IMPLEMENT INTERNET/INTRANET COMPUTER LINK WITH PFRD & OCFA BY OCTOBER 2001. What: Implement connectivity to the County's ATM network. Why: Allow PDSD to substantially improve communications & services with agencies/depts. & the public. | 50% of all servers are located on new PDSD infrastructure. | Complete server & clients split from PFRD network by end of first quarter 2001. | Excellent progress as ATM network infrastructure is accomplished and Phase III is on schedule. |

At a Glance:

| | |
|--|------------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 8,680,207 |
| Total Final FY 2001-2002 Budget: | 11,925,236 |
| Percent of County General Fund: | 0.55% |
| Total Employees: | 93.00 |

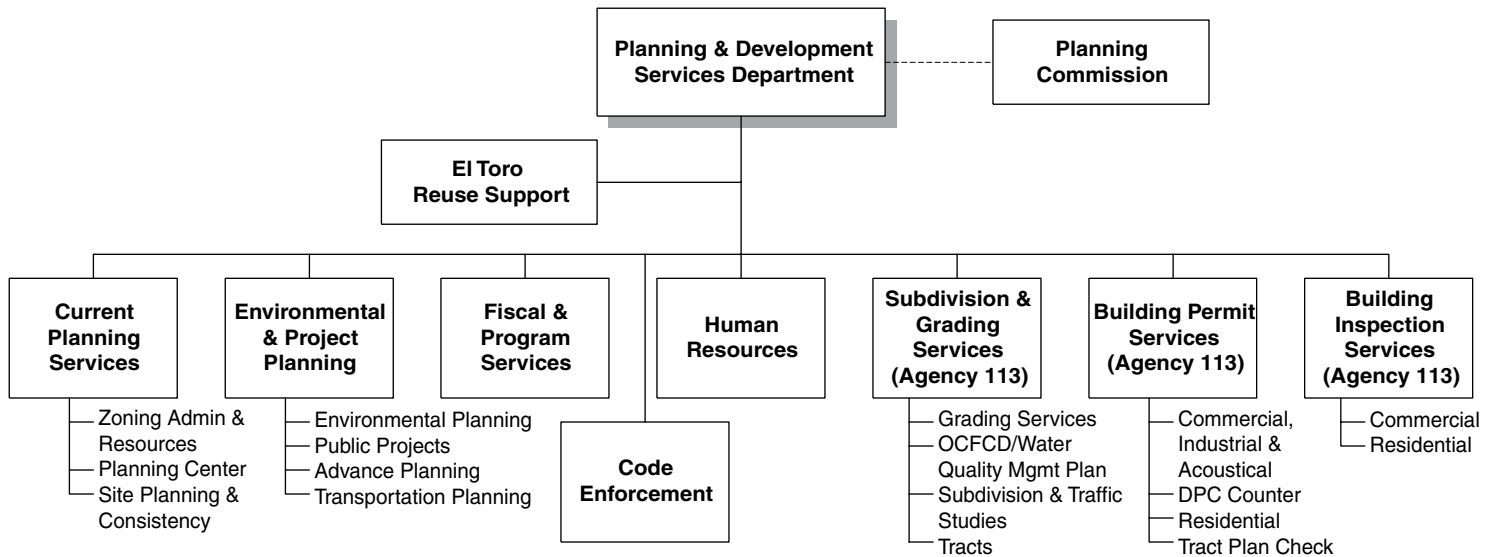
Key Outcome Measures: (Continued)

| Performance Measure | FY 2000-2001 Results | FY 2001-2002 Target | How are we doing? |
|---|---|---|---|
| COMPLETE PLANNING & DEVELOPMENT SERVICES DEPARTMENT 1999-2000 ANNUAL REPORT BY APRIL 2001. What: PDSD's annual report outlines the organization's financial & corporate conditions. Why: To convey PDSD's business history to clients and with emphasis on the people, the land, the future. | Report is completed. | Annual Report completion by March 2001. | On schedule for publication and placement on PDSD's Intranet and Internet sites for access by the public at large. |
| COMPLETE PDSD LINKAGE STUDY. What: To identify & recommend improvements to relationships between PDSD & other County Agencies/Depts. Why: Allow PDSD to ensure the systematic, planned development of Orange County. | Retain outside consultant to identify and recommend improvements to existing relationships between PDSD and other County departments. | Report completion by April 2001. Immediate implementation of recommendations. | Excellent progress. Study almost completed. Immediate implementation of all recommendations. |
| PROVIDE GREATER LEVELS OF POLICY AND INTRA-DEPARTMENTAL COORDINATION DIRECTION. What: To provide PDSD with greater levels of policy & intra-departmental coordination. Why: To ensure the systematic, planned development of Orange County. | As approved by the Board of Supervisors on 11/29/00, hire an Assistant Director to better serve PDSD's administrative needs. | Recruit and hire an Assistant Director by April 2001. | PDSD hired and recruited an Assistant Director in March 2001. |
| CONTINUE TO PARTICIPATE IN COUNTY'S COMMUNITY REVITALIZATION EFFORTS. What: To focus on community revitalization efforts in unincorporated communities. Why: To implement an innovative program to meet needs of County residents. | Provide support to CEO on Community revitalization planning in the unincorporated target areas. | Successful completion of all CEO-assigned Community Revitalization projects. | PDSD responded to Community revitalization efforts by establishing a Community Action Team Action Plan. The first phase of Community Action Team implementation consist of relocating several members of code enforcement section to our Santa Ana office thus creating North and South County teams. |

Fiscal Year 2000-01 Key Project Accomplishments:

- Successfully implemented all Performance Incentive Program (PIP) and the Management Performance Plans (MPP) for PDSD.
- Successfully migrated the Help Desk, Expediter, and Job Code Systems from PFRD to PDSD.
- Establishing a separate PDSD Network by June 2001, which includes tie-in to the County's ATM/Extranet to improve security and separate Intranet applications and exchange server from PFRD network.
- PDSD Online Services via Automated Permitting & Planning System (APPS):
- Orange County Planning Projects; Code Enforcement Online Complaint Submittal; Permit Fee & Deposit Estimator; Virtual Development Processing Center; and Permit Inspecting and Request Processing.
- Completed the purchase of three new vehicles for Code Enforcement Officers.
- Implemented emergency wireless communication devices for PDSD Managers and Field Inspectors.

Organizational Summary



DIRECTOR'S OFFICE - Planning & Development Services Department's Director, Assistant Director and secretary comprise this division. Each division manager reports directly to the PDSD Director. This relationship allows for greater accountability of the management team in implementing applicable department goals and objectives.

CURRENT PLANNING SERVICES - Processes discretionary permits and zone changes for privately initiated development projects, coordinates public hearings for zoning administrator and planning commission, and enforces County's land use regulations. Provides staff support for the Development Processing Counter and administers County's resource management program which includes the Natural Communities Conservation Program.

PLANNING COMMISSION - Provides clerical support to the Planning Commission.

EL TORO REUSE - This section in Planning Development Services Department provides support to the El Toro Master Development Program.

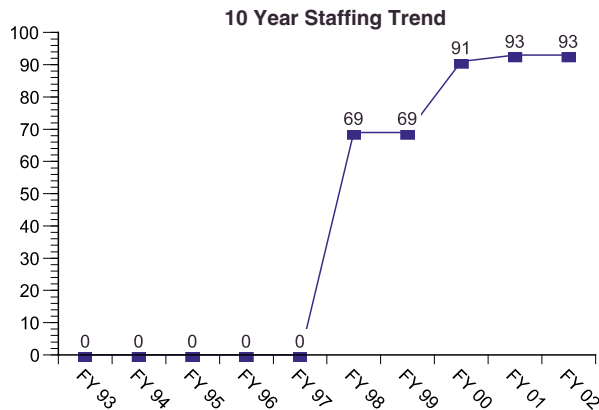
ENVIRONMENTAL/PROJECT PLANNING - Maintains the County General Plan, prepares community, specific and area plans, prepares and reviews Environmental Impact Reports. Coordinates implementation activities for Orange County Development Agency - Santa Ana Heights, provides transportation planning and implements the County Growth Management Program. Also assists in processing annexation requests and gathers and reports County demographic data.

CODE ENFORCEMENT - Facilitates the enforcement of Titles 2,3,4,6 and 7 of the Orange County Codified Ordinance (OCCO) and a resolution establishing a schedule of fines for Grading code violations. Also issues Civil Citations that impose fines upon persons who violate the Orange County Codified Ordinances.

FISCAL & PROGRAM SERVICES - Provides quality services and support functions to all PDSD divisions and clients, including budget and financial services, payroll, building maintenance, records management, banking functions, information technology, and purchasing. In an effort to improve the reporting organization structure information, the agency-wide shared services were incorporated into this activity.

HUMAN RESOURCES - Provide employees with professional and technical service and guidance. In addition, to ensure the hiring and retention of employees who view the County of Orange as a challenging career opportunity.

Ten Year Staffing Trend:



Ten Year Staffing Trend Highlights:

- Planning & Development Services Department (PDSD) was established on November 19, 1996 in accordance with Board Resolution No. 96-825. The staffing change from FY 1998/99 to FY 1999/2000 is due to the addition

of Code Enforcement Section (7), Human Resources and Fiscal & Program Services Division (15). Per Board Directive on June 5, 2000, PDSD's FY 2000/2001 Budget was augmented by an additional \$200,000 and (2) positions to address code enforcement demands / needs in the County.

Budget Summary

Plan for Support of the County's Strategic Priorities:

Consistent with the PDSD Strategic Plan to assist the County with incorporations, continue EIR Preparation, Code Enforcement, continue APPS implementation, support for regional planning efforts, and ongoing implementation of the Performance Incentive Plan (PIP).

Changes Included in the Base Budget:

PDSD Net Cost is 1% of total financing and under CEO target.

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Positions | N/A | 93 | N/A | 93 | 93 | 0 |
| Total Revenues | 5,455,585 | 8,076,760 | 6,666,210 | 8,803,827 | 2,137,617 | 32 |
| Total Requirements | 7,580,529 | 11,122,648 | 8,698,726 | 11,925,236 | 3,226,510 | 37 |
| Net County Cost | 2,124,944 | 3,045,888 | 2,032,516 | 3,121,409 | 1,088,893 | 54 |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: PLANNING & DEVELOPMENT SERVICES in the Appendix on page 457.

Highlights of Key Trends:

- PDSD's partnership with the Clerk of the Board on implementing the Board's Agenda Item Pilot Project - Comprehensive Agenda Management System (CAMS) expected to be on-line and tested beginning in April 2001.
- Continued development of PDSD Intra/Internet web-sites to make the Internet Web Page a "portal" to PFRD, Fire Authority, Assessor, and HCA.
- Automated Permitting & Planning System (APPS)-completion of Phase III by October 2001 as approved by Board of Supervisors on October 5, 1999.
- Established a Training Coordinator consistent with the Business Plan to create and implement end user and support staff training program for PDSD desktop computer applications.

- Implementation of PDSD's Virtual Intellitime System independently on-line via PDSD's Intranet.
- Equipment Obsolescence Plan - to guarantee current desktop technology for all PDSD employees.
- Windows 2000 Implementation and Migration.

Budget Units Under Agency Control

| No. | Agency Name | DIRECTOR'S OFFICE | CURRENT PLANNING SERVICES | PLANNING COMMISSION | EL TORO REUSE | ENVIRONMENTAL/ PROJECT PLANNING | CODE ENFORCEMENT | FISCAL & PROGRAM SERVICES | HUMAN RESOURCES | TOTAL |
|--------------|---------------------------------|-------------------|---------------------------|---------------------|---------------|---------------------------------|------------------|---------------------------|-----------------|------------|
| 071 | PLANNING & DEVELOPMENT SERVICES | 523,549 | 2,429,591 | 109,623 | 367,661 | 2,023,615 | 918,753 | 5,261,895 | 290,549 | 11,925,236 |
| 113 | BUILDING AND SAFETY | 19,237,808 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 19,237,808 |
| 140 | AIR QUALITY IMPROVEMENT | 334,423 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 334,423 |
| Total | | 20,095,780 | 2,429,591 | 109,623 | 367,661 | 2,023,615 | 918,753 | 5,261,895 | 290,549 | 31,497,467 |

113 - BUILDING AND SAFETY

Operational Summary

Agency Description:

The Building and Safety fund is a Restricted/Special Revenue Fund to enforce safety codes, ordinances and statutes related to construction and use of buildings, oil production, signs, zoning and community development in unincorporated areas of Orange County.

At a Glance:

| | |
|--|------------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 15,940,911 |
| Total Final FY 2001-2002 Budget: | 19,237,808 |
| Percent of County General Fund: | N/A |
| Total Employees: | 106.00 |

Strategic Goals:

- Process development permits.
- Provide excellent client services.
- Review building plans for compliance with building codes and regulations.
- Maintain plan check response time.

Key Outcome Measures:

| Performance Measure | FY 2000-2001 Results | FY 2001-2002 Target | How are we doing? |
|---|---|---|---|
| COMPLETE PROCESSING OF 90% OF PERMITS WITHIN ESTABLISHED TIME FRAMES. What: Perform permit processing for first plan checks within the established time goal. Why: The indicator shows whether PSDS is meeting public/development community's processing expectations. | Accomplished the target of processing of 90% of permits within established time frames. | Continue to process 90% of permits within established time frames. | Excellent, processing is on target. |
| ACHIEVE 95% CUSTOMER SATISFACTION RATING BY AUGUST 2001. What: PSDS is currently investing in Intranet Development Processing Center Customer Service Evaluation. Why: This indicator determines how well PSDS is serving public/development community clients. | 95% Customer Satisfaction rating achieved in latest survey. | Continue to survey Customer Satisfaction and strive for 95% rating level. | On target for measurement outcome in August 2001. |

Fiscal Year 2000-01 Key Project Accomplishments:

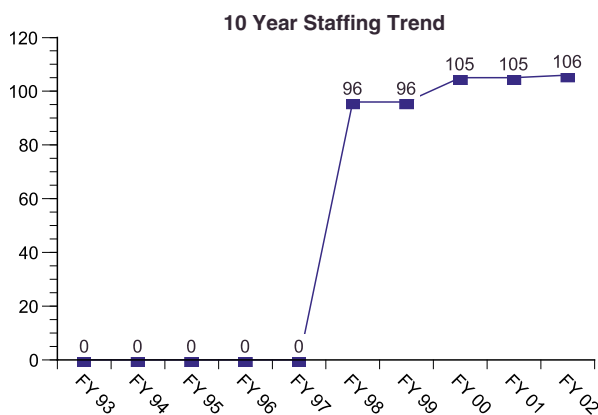
- PSDS Online Services via Automated Permitting & Planning System (APPS):
- Orange County Planning Projects; Code Enforcement Online Complaint Submittal; Permit Fee & Deposit Estimator; Virtual Development Processing Center; and Permit Inspecting and Request Processing.
- Completion of Automated Permitting & Planning System (APPS) Phase II.
- Completed the purchase of six replacement vehicles for field inspectors in Building Inspection.

SUBDIVISION & GRADING SERVICES - Maintains subdivision tentative maps, reviews and approves street and drainage improvement plans, processes Subdivision Committee agenda, administers the master Plan of Drainage, and issues and inspects grading permits.

BUILDING PERMIT SERVICES - Reviews and approves all plans for building improvements and community development within unincorporated areas of the County. Issues building, plumbing, electrical and mechanical, and use and occupancy permits.

BUILDING INSPECTION SERVICES - Enforces safety codes, ordinances and statutes related to the construction and use of buildings, signs, zoning and community development in unincorporated areas of the County. In an effort to improve reporting organization structure information, the agency-wide shared services were incorporated into this activity.

Ten Year Staffing Trend:



Ten Year Staffing Trend Highlights:

- Planning & Development Services Department was established on November 19, 1996 in accordance with Board Resolution No. 96-825. The staffing change from FY 1998/1999 to FY 1999/2000 is due to converting limited term and extra help Building Inspectors to regular positions. For FY 2001/2002, Board of Supervisors approved position augmentations for a Planner IV for Subdivision and Grading Services Division and an Engineering Tech II for Building Permits Division to provide counter services and process projects to meet the demands of our clients in the DPC.

Budget Summary

Plan for Support of the County's Strategic Priorities:

Consistent with PDS's Strategic Goals to assist the County with the utilization of integrated computer applications and inter/intra electronic communications; and continue preparation and implementation of the Automated Permitting and Planning System (APPS).

Changes Included in the Base Budget:

Changes primarily due to the 28% reduction of Building Permit fees plus an additional 5% fee reduction approved by the Board of Supervisors on February 6, 2001. Revenues and Operating expenses resulted in a reduction of Fund 113 balance for FY2001/02 recommended reserve of \$8.4 Million.

Equipment purchase for 8 vehicles for field Building Inspectors.

Approved Budget Augmentations and Related Performance Results:

| Unit/Amount | Description | Performance Plan | Ref. Num. |
|---|--|--|-----------|
| Engineering Technician II Position Amount: \$ 54,477 | This position is required to provide assistance to clients in the Development Processing Center. | To ensure construction of buildings comply with safety standard & building codes. | 113-001 |
| Planner IV Position Amount: \$ 74,545 | To provide better public counter services & additional staff to prepare subdivision staff reports. | Meeting State Law requirements prompted more staff time preparing reports to meet deadlines. | 113-002 |

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Positions | N/A | 105 | N/A | 106 | 106 | 0 |
| Total Revenues | 9,942,724 | 11,059,331 | 10,738,094 | 10,852,935 | 114,841 | 1 |
| Total Requirements | 14,113,905 | 23,957,182 | 15,628,582 | 19,237,808 | 3,609,226 | 23 |
| FBA | 15,945,720 | 12,897,851 | 12,104,961 | 8,384,873 | (3,720,088) | (31) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: BUILDING AND SAFETY in the Appendix on page 461.

Highlights of Key Trends:

- Continuation of development and implementation for Automated Permitting and Planning System (APPS) Phase III and IV Applications.
- Permit Activity and Valuation is consistent with Chapman Economic Forecast indicating continuation of growth in construction spending.

140 - AIR QUALITY IMPROVEMENT

Operational Summary

Agency Description:

The purpose of the Air Quality Improvement Fund is to promote programs to reduce air pollution from motor vehicles, and was established pursuant to passage of the 1988 California Clean Air Act. A portion of car registration fees provided by the State of California is the primary funding source.

Strategic Goals:

- Develop a reliable sub-area traffic forecast model to analyze the circulation system in unincorporated Orange County.
- Develop and assist in implementation of a five-year program for utilization of AB2766 funds, Motor Vehicle Registration Fees, consistent with the Board of Supervisors' direction.

Key Outcome Measures:

| Performance Measure | FY 2000-2001 Results | FY 2001-2002 Target | How are we doing? |
|--|--|--|---|
| SATISFACTION OF PARTNERS AND CLIENTS. What: Air Quality improvements is funded by Fund 140 for benefit of County operations & employees. Why: These activities can help lead to higher quality of life for Orange County residents. | Eligible projects have been funded consistent with Board policies. | Funding will continue in accordance with program parameters. | Electronic Vehicles are leased and are undergoing evaluation; the Kiosk project is underway. |
| PDSO TRAFFIC FORECASTS ARE CONSISTENT WITH THOSE OF OCTA. What: System (computer models, data sets, & procedures) to allow County to make accurate forecasts. Why: We can serve our clients, especially other County Agencies/Departments more effectively. | Improvements to computer model are partially complete. Preliminary forecasts are consistent with OCTA. | Improvements will continue to be made, consistent with changes by OCTA and in accordance with SCAQMD parameters. | Project dependent on OCTA for completion of OCTAM3 & CSUF Center for Demographic Research on OCP projections. |
| POSITIVE AUDITS BY SCAQMD OF AB2766 FUNDS. What: Funds are available for a wide range of projects that lead to improved air quality. Why: This program can help lead to higher quality of life for Orange County residents. | Audit completed in December 2000. | Continue to manage program subject to staffing constraints. | Latest audit required minor modifications in one category. |

Fiscal Year 2000-01 Key Project Accomplishments:

- Respect of clients is important to enhance quality of life of Orange County residents by successfully instituting new initiatives such as the Information Kiosks purchase, and the leasing of 2 Electrical Cars to reduce motor vehicle emissions in the environment.

Budget Summary

Continue to keep partners and clients informed of planning and technical activities, and public education/rideshare events through verbal and written communication.

Plan for Support of the County's Strategic Priorities:

Continue to promote public awareness of reduction projects to reduce motor vehicle emissions.

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 194,036 | 174,500 | 141,653 | 145,000 | 3,347 | 2 |
| Total Requirements | 502,505 | 613,163 | 302,667 | 334,423 | 31,756 | 10 |
| FBA | 711,606 | 438,663 | 343,137 | 189,423 | (153,714) | (45) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: AIR QUALITY IMPROVEMENT in the Appendix on page 463.

Highlights of Key Trends:

- Transportation Planning (\$25K) for Information Kiosk purchase and maintenance.

080 - PUBLIC FACILITIES & RESOURCES

Operational Summary

Mission:

To provide, operate, and maintain quality public facilities and regional resources for the enjoyment, mobility, protection, and business of the people of Orange County.

Strategic Goals:

- Enforce State mandated agricultural and pesticide regulations, and weights and measure programs within Orange County.
- Provide facility operations and maintenance, capital project management, and mandated County Surveyor services.

Key Outcome Measures:

| Performance Measure | FY 2000-2001 Results | FY 2001-2002 Target | How are we doing? |
|--|--|---|--|
| AG. COMMISSIONER: RECEIVE SATISFACTORY RESULTS FROM STATE AUDITS AND REVIEWS OF AG. PROGRAMS. What: State of California audits the performance results of the AG. Commissioner enforcement programs. Why: State must ensure that O.C. is accomplishing mandated programs according to State requirements. | Results of audits and reviews can be issued by the State up to four months after the end of the fiscal year. | Maintain a rating ranging from good to excellent on State audits and reviews of enforcement programs. | To date, the Agricultural Commissioner Division has met State standards. |
| INTERNAL SERVICES/FACILITY OPERATIONS: MEET MAINTENANCE STANDARDS FOR COUNTY BUILDINGS. What: Keep County buildings and facilities safe, serviceable and clean for use by employees and public. Why: To enable employees to work in & public to use facilities in a functional, safe & clean environment. | Facility Operations has met established maintenance standards and kept within appropriation limits. | Maintain facilities for which we have responsibility according to established standards at 95% of the time. | Facilities Operations is continuing to maintain County buildings in accordance with established standards and schedules. |
| INTERNAL SERVICES/A&E: IMPROVE A&E'S MANAGEMENT PROCESS FOR CONSTRUCTION OF CAPITAL PROJECTS. What: A&E is responsible for managing the design and construction of growing volume of capital projects. Why: Re-budget of capital project work requires extra time and delays project completion. | A&E Section is on track to reduce the number of projects requiring re-budgeting by 5%. | Reduce the number of A&E projects requiring re-budgeting by 5%. | To date, A&E is on track to reduce the number of projects requiring re-budget by the 5% target. |
| AG. COMMISSIONER: TIMELY COMPLETION OF WORKLOAD FOR THREE MAJOR AG. COMM. ENFORCEMENT PROGRAMS. What: Completing the tasks assigned to the Agricultural, Pesticide, and Weights & Measures programs. Why: Enforcement services are mandated by the State for protection of the public and businesses. | The Ag. Commissioner is on track to attain 90% completion of benchmark workload indicators for 2000-01 for enforcement programs. | Maintain 90% completion of workload indicators for three Ag. Commissioner enforcement programs. | To date, the Agricultural Commissioner Division is on track to meet State standards. |

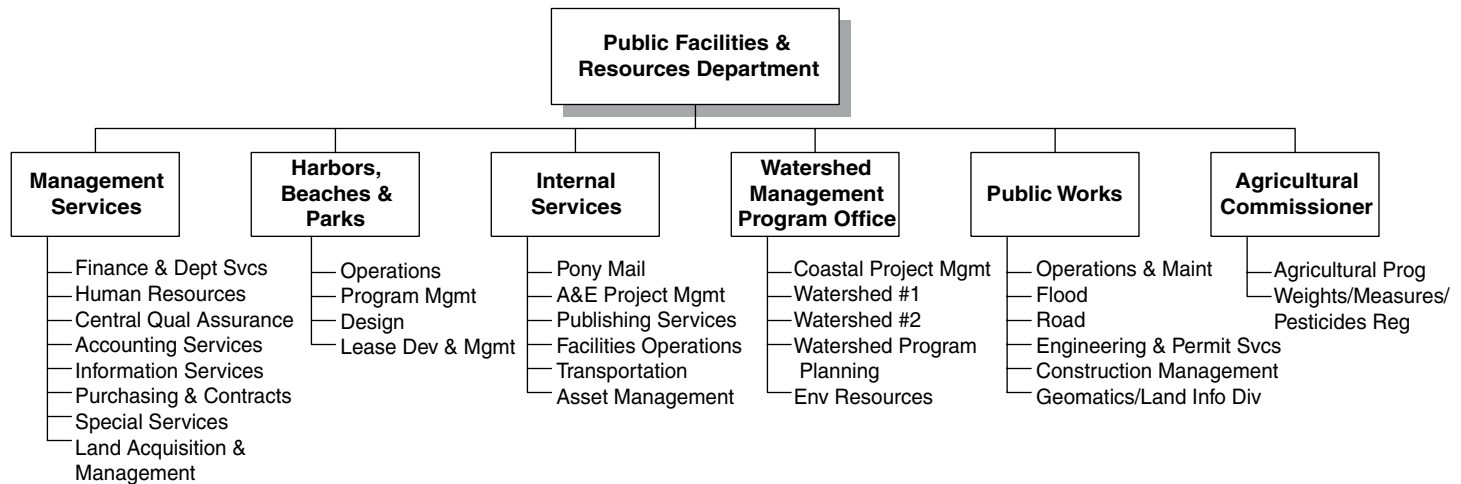
At a Glance:

| | |
|--|------------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 34,173,258 |
| Total Final FY 2001-2002 Budget: | 40,939,820 |
| Percent of County General Fund: | 1.88% |
| Total Employees: | 299.00 |

Fiscal Year 2000-01 Key Project Accomplishments:

- Facility Operations achieved 10% increase in preventative maintenance work performed at County buildings and facilities.
- Agricultural Commissioner quickly and fully responded to directive from the State to establish a nursery inspection program to control glassy winged sharpshooter pest which threatens California's grape industry.
- PFRD is in the process of implementing the first stage of the Countywide Asset Management Program which involves conducting an inventory and assessment of facility related equipment such as elevators and generators.
- Facility Operations has identified seven county facilities to receive lighting upgrades to reduce energy consumption.

Organizational Summary



DIRECTOR'S OFFICE - The PFRD Director's Office provides overall guidance for operating the department, oversees a total of 1,178 PFRD positions, and ensures that PFRD provides quality services to other County departments and to the public.

MANAGEMENT SERVICES - The Management Services Function provides administrative support to PFRD programs including financial and budget services, human resources services, computer support, purchasing and contract support, accounting services, central quality assurance, building support services, special project coordination, legislative coordination, real estate services and parking facility administration.

HARBORS, BEACHES AND PARKS - See HBP Fund 405.

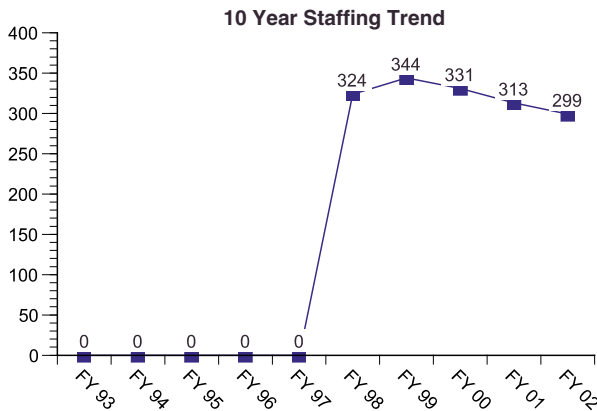
INTERNAL SERVICES - The Internal Services Function provides County-wide services including facility maintenance and support, Architect & Engineering services for County capital projects, repair, maintenance and management of the County vehicle fleet, publishing services, pony mail services, and coordination of the County's asset management program.

WATERSHED MANAGEMENT - See Watershed Management Agency 034.

PUBLIC WORKS - The Public Works Function, within PFRD Fund 080, includes the County Property Permits Section as well as some Geomatics and Construction staff positions. The 080 Public Works Budget also accounts for the cost to operate vehicles by road maintenance and flood control staff. The Road and Flood Funds reimburse PFRD Fund 080 for these vehicle operating expenses.

AGRICULTURAL COMMISSIONER - The Agricultural Commissioner is mandated to enforce State laws and regulations pertaining to agriculture, pest detection and exclusion, pesticide use, and weights and measures. The Agricultural Commissioner also implements the County's weed abatement program.

Ten Year Staffing Trend:



Ten Year Staffing Trend Highlights:

- The County formed the Public Facilities & Resources Department in FY 1997-98 from combining components of the former Environmental Management Agency with components of the former General Services Agency. PFRD Fund 080's total positions will be reduced from 315 at end of FY 2000-01 to 299 positions in FY 2001-2002, a net decrease of 16 positions. The reduction results from reassigning 25 existing positions to other PFRD funds, including Road, Flood, and HBP, where these staff provide their main support. Also, PFRD will add 6 new positions (2 elevator mechanics, 2

Systems Programmer Analysts, and 2 Principal A&E Project Mgrs.) to accommodate increased workloads at costs lower than current contracted labor. Approved Augmentations listed below add another 3 new positions. In addition to 299 positions in Fund 080, PFRD has 879 positions budgeted in nine other PFRD fund/agencies which include the Road Fund, Flood Fund, HBP, Utilities, Watershed Management, Parking Facilities, Reprographics ISF, Transportation ISF and Dana Point Tidelands.

Budget Summary

Plan for Support of the County's Strategic Priorities:

PFRD will continue to provide the resources required to manage the County's water quality issues and watershed programs, complete the County's deferred maintenance plan and ensure compliance with the Americans with Disabilities Act (ADA) requirements.

Changes Included in the Base Budget:

PFRD Fund 080's FY 2001-02 Base Budget Request of \$40,939,820 is \$1,467,662 (4%) higher than the FY 2000-01 Modified Budget amount. (Modified Budget includes all approved mid-year budget changes.) The increase is due primarily to increased budgeting for professional services for computer support and other specialized support services.

Approved Budget Augmentations and Related Performance Results:

| Unit/Amount | Description | Performance Plan | Ref. Num. |
|---|---|---|-----------|
| Agricultural Commissioner - PF60 Amount: \$ 29,000 | 800 MHz radio equipment for Ag. Commissioner field staff. | Will enable Ag. Commissioner to tie into County's established 800 MHz communications system. | 080-001 |
| Management Services - PF10 Amount: \$ 80,400 | Add One (1) Senior Real Property Agent position. | Will provide proper management of large workload at PFRD's Property Management Unit. | 080-005 |
| Management Services - PF10 Amount: \$ 53,000 | Add One (1) Procurement Contract Specialist position. | Will speed up preparation of purchasing requisitions, requests for proposal, & purchase agreements. | 080-006 |
| Public Works - PF50 Amount: \$ 62,640 | Add One (1) Engineering Technician Specialist position. | Will provide public property services required at converted El Toro Base. | 080-007 |

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Positions | N/A | 313 | N/A | 299 | 299 | 0 |
| Total Revenues | 22,961,610 | 29,415,158 | 23,649,778 | 30,558,227 | 6,908,449 | 29 |
| Total Requirements | 32,000,715 | 39,472,158 | 33,854,095 | 40,939,820 | 7,085,725 | 21 |
| Net County Cost | 9,039,105 | 10,057,000 | 10,204,317 | 10,381,593 | 177,276 | 2 |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: PUBLIC FACILITIES & RESOURCES in the Appendix on page 464.

Highlights of Key Trends:

- PFRD's Agency 080 budget requests \$10,381,593 for general fund Net County Cost, which is under the target established by the CEO. This request for Net County

Cost, which is \$324,593 (3%) higher than the net cost budgeted for Agency 080 in FY 00-01, was increased due to higher cost of living and increased worker's compensation costs.

Budget Units Under Agency Control

| No. | Agency Name | DIRECTOR'S OFFICE | MANAGEMENT SERVICES | HARBORS, BEACHES AND PARKS | INTERNAL SERVICES | WATERSHED MANAGEMENT | PUBLIC WORKS | AGRICULTURAL COMMISSIONER | TOTAL |
|-----|--|-------------------|---------------------|----------------------------|-------------------|----------------------|--------------|---------------------------|------------|
| 034 | WATERSHED MANAGEMENT PROGRAM | 0 | 0 | 0 | 0 | 11,855,843 | 0 | 0 | 11,855,843 |
| 040 | UTILITIES | 0 | 0 | 0 | 19,506,672 | 0 | 0 | 0 | 19,506,672 |
| 080 | PUBLIC FACILITIES & RESOURCES | 275,620 | 14,754,881 | 650,000 | 12,632,926 | 0 | 5,900,940 | 6,725,453 | 40,939,820 |
| 106 | COUNTY TIDELANDS - NEWPORT BAY | 0 | 0 | 2,548,779 | 0 | 0 | 0 | 0 | 2,548,779 |
| 108 | COUNTY TIDELANDS - DANA POINT | 0 | 0 | 20,159,846 | 0 | 0 | 0 | 0 | 20,159,846 |
| 114 | FISH AND GAME PROPAGATION | 0 | 0 | 50,846 | 0 | 0 | 0 | 0 | 50,846 |
| 115 | ROAD | 0 | 0 | 0 | 0 | 0 | 80,939,150 | 0 | 80,939,150 |
| 128 | SURVEY MONUMENT PRESERVATION | 0 | 0 | 98,851 | 0 | 0 | 0 | 0 | 98,851 |
| 129 | OFF-HIGHWAY VEHICLE FEES | 0 | 0 | 190,777 | 0 | 0 | 0 | 0 | 190,777 |
| 137 | PARKING FACILITIES | 0 | 4,916,576 | 0 | 0 | 0 | 0 | 0 | 4,916,576 |
| 148 | FOOTHILL CIRCULATION PHASING PLAN | 0 | 0 | 0 | 0 | 0 | 7,580,073 | 0 | 7,580,073 |
| 149 | FOOTHILL/ EASTERN TRANSPORT CORRIDOR | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 15K | LIMESTONE REGIONAL PARK MITIGATION MAINT ENDOW | 0 | 0 | 35,759 | 0 | 0 | 0 | 0 | 35,759 |

Budget Units Under Agency Control

| No. | Agency Name | DIRECTOR'S OFFICE | MANAGEMENT SERVICES | HARBORS, BEACHES AND PARKS | INTERNAL SERVICES | WATERSHED MANAGEMENT | PUBLIC WORKS | AGRICULTURAL COMMISSIONER | TOTAL |
|--------------|--|-------------------|---------------------|----------------------------|-------------------|----------------------|--------------|---------------------------|-------------|
| 296 | TRANSPORTATION ISF | 0 | 0 | 0 | 20,186,174 | 0 | 0 | 0 | 20,186,174 |
| 297 | REPROGRAPHICS ISF | 0 | 0 | 0 | 3,984,286 | 0 | 0 | 0 | 3,984,286 |
| 400 | FLOOD CONTROL DISTRICT | 0 | 0 | 0 | 0 | 0 | 81,651,628 | 0 | 81,651,628 |
| 402 | FLOOD CONTROL DIST BONDS '57-1 & S | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 403 | SANTA ANA RIVER ENVIRONMENTAL ENHANCEMENT | 0 | 0 | 0 | 0 | 0 | 229,092 | 0 | 229,092 |
| 404 | FLOOD CONTROL DISTRICT-CAPITAL | 0 | 0 | 0 | 0 | 0 | 72,802,437 | 0 | 72,802,437 |
| 405 | HARBORS, BEACHES & PARKS CSA 26 | 0 | 0 | 73,905,967 | 0 | 0 | 0 | 0 | 73,905,967 |
| 453 | SANITATION DISTRICT 12'63 SEWER BOND REDEMPTION | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 458 | COUNTY SERVICE AREA #4 -LEISURE WORLD | 0 | 0 | 689,376 | 0 | 0 | 0 | 0 | 689,376 |
| 459 | N. TUSTIN LANDSCAPE & LIGHTING ASSESSMENT DISTRICT | 0 | 0 | 985,807 | 0 | 0 | 0 | 0 | 985,807 |
| 468 | COUNTY SERVICE AREA #13 -LA MIRADA | 0 | 0 | 6,534 | 0 | 0 | 0 | 0 | 6,534 |
| 475 | COUNTY SERVICE AREA #20 - LA HABRA | 0 | 0 | 13,703 | 0 | 0 | 0 | 0 | 13,703 |
| 477 | COUNTY SERVICE AREA #22-E YORBA LINDA | 0 | 0 | 33,790 | 0 | 0 | 0 | 0 | 33,790 |
| 506 | IRVINE COAST ASSESSMENT DISTRICT 88-1 CONSTRUCTION | 0 | 0 | 0 | 0 | 0 | 33,299,720 | 0 | 33,299,720 |
| Total | | 275,620 | 19,671,457 | 99,370,035 | 56,310,058 | 11,855,843 | 282,403,040 | 6,725,453 | 476,611,506 |

034 - WATERSHED MANAGEMENT PROGRAM

Operational Summary

Agency Description:

Develop regional watershed management strategies to preserve, protect, and enhance coastal and surface water resources throughout Orange County.

Strategic Goals:

- The goals of the Watershed Management Program are:
- Implement the County's Watershed Programs.
- Implement the Countywide Stormwater Quality Program.
- Coordinate regional compliance with federal Total Maximum Daily Load (TMDL) for impaired waters under the Clean Water Act.
- Coordinate PFRD's compliance with federal and state regulations pertaining to surface water, domestic water, ground water, hazardous wastes, and hazardous materials, including special studies of PFRD resources.
- Maintain and operate the Countywide hydrologic and meteorologic data collection system.

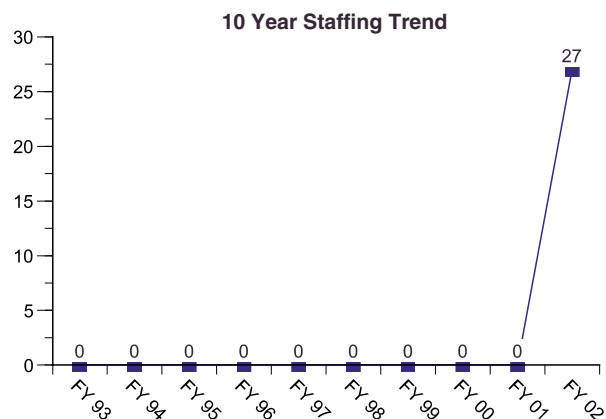
Fiscal Year 2000-01 Key Project Accomplishments:

- The Watershed Management Program Division was created January 1, 2001 to focus County resources toward preserving, protecting, and enhancing watershed surface waters and coastal resources.

WATERSHED & COASTAL RESOURCES - The Watershed Management Program Division will focus on water quality activities that are on a broader watershed basis that looks at a watercourse in its entirety. The program will involve cooperation amongst the United States Army Corps of Engineers, the Federal and State governments, and local municipalities to implement research and construction projects that will

help the program accomplish its goals.

Ten Year Staffing Trend:



Ten Year Staffing Trend Highlights:

- Budget Fiscal Year 2001-2002 will be the first full budget year for the Division. The Watershed Management Program Division will have 27 staff to carry out its responsibilities.

Changes Included in the Base Budget:

Prior to January 1, 2001 Watershed Management Program costs were charged to various PFRD funds. Due to Board approval creating the Watershed Management Program Fund all charges will now be made to The Watershed Management Program Fund 034.

Budget Summary

Plan for Support of the County's Strategic Priorities:

Public Facilities & Resources Department created the Watershed Management Program Division Agency 034 this year. The division was created to support watershed management issues identified in the Fiscal Year 2000-2001 Strategic Priority Plan. The division is adding staffing as budgeted in the Strategic Priority Plan and further development of the Aliso Creek Watershed Project is ongoing.

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Positions | N/A | 0 | N/A | 27 | 27 | 0 |
| Total Revenues | 0 | 0 | 675,919 | 11,461,734 | 10,785,815 | 1,596 |
| Total Requirements | 0 | 0 | 646,491 | 11,855,843 | 11,209,352 | 1,734 |
| Net County Cost | 0 | 0 | (29,428) | 394,109 | 423,537 | (1,439) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: WATERSHED MANAGEMENT PROGRAM in the Appendix on page 468.

040 - UTILITIES

Operational Summary

Agency Description:

Provides utilities and trash collection for City, State, Federal, and County Agencies.

At a Glance:

| | |
|--|------------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 16,134,647 |
| Total Final FY 2001-2002 Budget: | 19,506,672 |
| Percent of County General Fund: | 0.90% |
| Total Employees: | 12.00 |

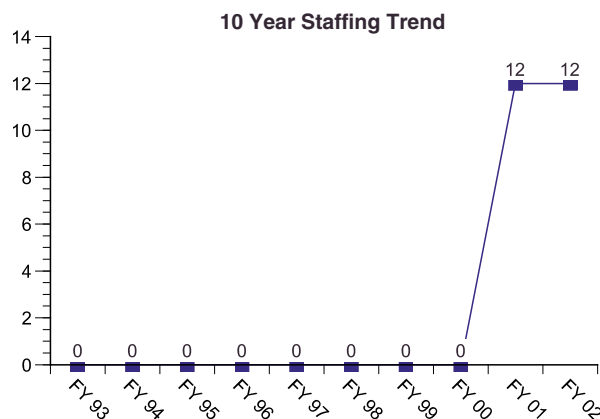
Strategic Goals:

- Ensure continuous availability of Central Utility Facility (CUF) to support the needs of served County facilities by completing Phase II CUF rehabilitation.
- Complete energy consumption audit and develop energy management plan.

Fiscal Year 2000-01 Key Project Accomplishments:

- Completed the Central Utility Facility (CUF) rehabilitation project Phase I for \$525,000 in FY 2000-2001.

Ten Year Staffing Trend:



Budget Summary

Maintenance Strategic Priority.

Plan for Support of the County's Strategic Priorities:

Phase II of the upgrade to the Central Utilities Facility is budgeted in FY 2001-02. This project is part of the Deferred

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Positions | N/A | 12 | N/A | 12 | 12 | 0 |
| Total Revenues | 492,029 | 686,455 | 518,745 | 1,016,474 | 497,729 | 96 |
| Total Requirements | 13,783,372 | 13,626,242 | 15,574,103 | 19,506,672 | 3,932,569 | 25 |
| Net County Cost | 13,291,343 | 12,939,787 | 15,055,358 | 18,490,198 | 3,434,840 | 23 |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: UTILITIES in the Appendix on page 469.

Highlights of Key Trends:

- The Central Utility Facility (CUF) was built in 1968 and provides steam for heating and chilled water for cooling to eighteen County, City, and Federal owned facilities in the Civic Center. It must operate on a continuous basis. The CUF has served approximately eleven years beyond

its anticipated life expectancy. Age related failures are occurring and the CUF equipment is in need of rehabilitation. The rehabilitation of the CUF will cost approximately \$2.5 million and is expected to be a three-year project with Phase II starting in FY 2001-2002 for \$1.6 million.

106 - COUNTY TIDELANDS - NEWPORT BAY

Operational Summary

Agency Description:

Provides the public with pleasant and safe boating and other marine recreational and environmental experiences, and maximizes concession revenues to financially support these public programs.

At a Glance:

| | |
|--|-----------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 3,001,924 |
| Total Final FY 2001-2002 Budget: | 2,548,779 |
| Percent of County General Fund: | N/A |
| Total Employees: | 0.00 |

COUNTY TIDELANDS/NEWPORT BAY - This fund is financed by revenue derived from rents and leases of land and improvements on state tidelands in Newport Bay granted in trust to the County, and is for use to benefit those granted lands.

Budget Summary

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 2,553,964 | 2,463,749 | 2,827,536 | 2,548,779 | (278,757) | (10) |
| Total Requirements | 2,376,889 | 2,463,749 | 2,969,484 | 2,548,779 | (420,705) | (14) |
| FBA | 14,696 | 0 | 192,575 | 0 | (192,575) | (100) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: COUNTY TIDELANDS - NEWPORT BAY in the Appendix on page 470.

108 - COUNTY TIDELANDS - DANA POINT

Operational Summary

Agency Description:

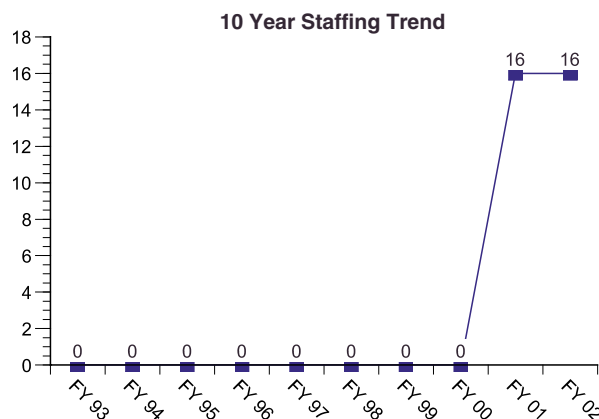
Provides the public with pleasant and safe boating and other marine recreational and environmental experiences, and maximizes concession revenues to financially support these public programs.

Fiscal Year 2000-01 Key Project Accomplishments:

- Strategic planning for the Dana Point Harbor re-vitalization project was completed and this included three new operating agreements with operators in the retail, motel, and the east basin marina operations.

COUNTY TIDELANDS/DANA POINT - This fund is financed by revenue derived from rents and leases of land improvements on or adjacent to state tidelands in Dana Point Harbor, granted in trust to the County, and is for use to benefit those granted lands. Capital projects are financed from HB&P and repaid as funds are available.

Ten Year Staffing Trend:



At a Glance:

| | |
|--|------------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 9,337,601 |
| Total Final FY 2001-2002 Budget: | 20,159,846 |
| Percent of County General Fund: | N/A |
| Total Employees: | 16.00 |

Budget Summary

Changes Included in the Base Budget:

Land leases with Dana Village Properties (retail), Great Western Hotels (motel), and the Dana Point Marina Corporation (east basin marina) expired this year. Under the new Dana Point Harbor re-vitalization program, the policy of land leases has been discontinued and replaced by operating agreements. On March 1, 2001, the County approved such agreements for the retail, motel, and east basin marina parcels.

Under the new operating agreements the gross revenue generated from these parcels become assets of the Dana Point Tidelands Fund 108. The operators are paid a fee and reimbursed for approved expenses. The net result is increased revenue available to the tidelands fund for the long term renovations planned at the harbor.

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Positions | N/A | 16 | N/A | 16 | 16 | 0 |
| Total Revenues | 5,394,954 | 5,342,721 | 8,715,766 | 20,159,846 | 11,444,080 | 131 |
| Total Requirements | 4,973,608 | 5,342,721 | 9,308,951 | 20,159,846 | 10,850,895 | 117 |
| FBA | 314,602 | 0 | 553,176 | 0 | (553,176) | (100) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: COUNTY TIDELANDS - DANA POINT in the Appendix on page 471.

114 - FISH AND GAME PROPAGATION

Operational Summary

Agency Description:

Evaluate and recommend policy to further fish and game habitat and preservation interests and, per Board policy, financially support stocking of fish for regional park lake fish programs.

At a Glance:

| | |
|--|---------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 116,962 |
| Total Final FY 2001-2002 Budget: | 50,846 |
| Percent of County General Fund: | N/A |
| Total Employees: | 0.00 |

FISH & GAME PROPAGATION - This fund derives its revenue from fines levied by the State Department of Fish and Game. These revenues are used to enhance public awareness of the County's Fish and Game resources.

Budget Summary

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 26,106 | 23,475 | 31,857 | 27,000 | (4,857) | (15) |
| Total Requirements | 30,303 | 149,417 | 116,962 | 50,846 | (66,116) | (57) |
| FBA | 128,268 | 125,942 | 124,070 | 23,846 | (100,224) | (81) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: FISH AND GAME PROPAGATION in the Appendix on page 472.

115 - ROAD

Operational Summary

Agency Description:

Provide road and traffic safety improvements in unincorporated Orange County.

At a Glance:

| | |
|--|------------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 36,626,312 |
| Total Final FY 2001-2002 Budget: | 80,939,150 |
| Percent of County General Fund: | N/A |
| Total Employees: | 210.00 |

Strategic Goals:

- Compete for Federal, State & Local grant programs to enhance funding.
- Complete capital improvement program for roadway projects.
- Provide roadway maintenance to existing infrastructure.
- Provide traffic safety and signal maintenance for roadway network.
- Use (AB 2928) new revenue to upgrade and speed maintenance of all County roads.

Fiscal Year 2000-01 Key Project Accomplishments:

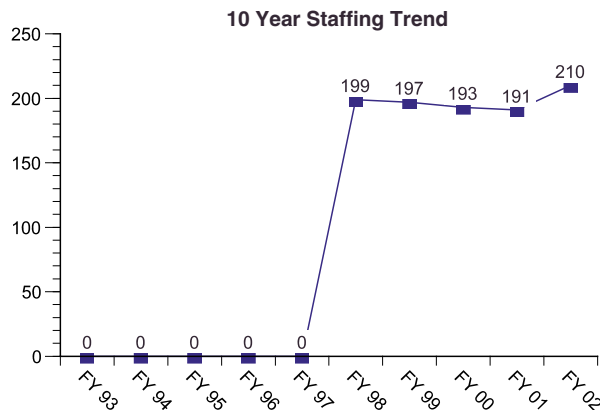
- Developed and administered County's multi year Road Capital Improvement Program (CIP) and secured grant funding for development of the CIP.
- Updated 7-year Capital Improvement Program (CIP) and secured funding for projects totaling almost \$14M.
- Developed, and Board approved, the Disadvantaged Business Enterprise.
- The Board, on February 6, 2001 approved a Road and Highway Expenditure Plan for AB-2928.
- Designed and constructed six (6) traffic signals totaling \$662,000.
- Assisted other County Agencies and PFRD Functions with transportation related needs.

ROAD - Special District - Administer County's Road Fee Program and Special Funding Districts.

Road Program - Develop and administer County's transportation capital improvement program and secure grant funding.

Traffic Engineering - Conduct traffic safety investigations, provide traffic committee support and plan, design & operate traffic signal systems.

Ten Year Staffing Trend:



Ten Year Staffing Trend Highlights:

- PFRD has undertaken several reorganizations and it is only recently that this fund was assigned positions. The fund does not have a staffing history before FY 1997-98 as all staff were assigned to the PFRD Operating Fund

and billed to the various PFRD funds via direct and indirect billing from the Operating Fund. In FY 2001-02, staffing will increase by 18 positions due to the Public Facilities & Resources Department reorganization, which better aligns the position and the fund with the work being performed. Prior to the reorganization, the cost for these positions was being charged to the Road fund through direct billings. For FY 2001-02 one limited term position was approved by the Board for increased street sweeping related services to mitigate urban runoff.

Budget Summary

Changes Included in the Base Budget:

Added one limited term Public Works Maintenance Worker position for Operations and Maintenance. This position will perform inspection related duties for the Board-approved expansion of the street sweeping program.

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Positions | N/A | 191 | N/A | 210 | 210 | 0 |
| Total Revenues | 46,268,375 | 65,303,384 | 53,491,338 | 55,234,525 | 1,743,187 | 3 |
| Total Requirements | 75,180,552 | 71,566,659 | 38,048,554 | 80,939,150 | 42,890,596 | 113 |
| FBA | 40,339,090 | 6,263,275 | 9,230,088 | 25,704,625 | 16,474,537 | 178 |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: ROAD in the Appendix on page 473.

Highlights of Key Trends:

- New legislation, AB2928, has provided new revenue to the Road Fund for maintenance projects. The Road Fund has received \$12.8 million this fiscal year and will receive an estimated \$3.2 million for each of the next 5 fiscal years. The money received has to be expended by

the end of the fiscal year following the year it is received. This means that the \$12.8 million received this fiscal year has to be spent by June 2002. Efforts are already under way to upgrade and speed up maintenance of all possible County roads and to ensure that all the money is spent in a timely manner.

128 - SURVEY MONUMENT PRESERVATION

Operational Summary

Agency Description:

California Gov't Code Sec 27584 authorizes this fund to pay for County surveyor cost for retracement or remonument surveys of major historical land division lines upon which later surveys are based.

At a Glance:

| | |
|--|---------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 104,940 |
| Total Final FY 2001-2002 Budget: | 98,851 |
| Percent of County General Fund: | N/A |
| Total Employees: | 0.00 |

SURVEY MONUMENT PRESERVTN FUND - This balanced fund receives revenue from fees charged by the County Recorder for filing and recording grant deeds. These funds are dedicated to retracement and remonument services performed by the Orange County Surveyor's Office.

Budget Summary

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 84,835 | 86,250 | 73,284 | 84,500 | 11,216 | 15 |
| Total Requirements | 176,279 | 313,774 | 104,940 | 96,851 | (8,089) | (8) |
| FBA | 157,619 | 227,524 | 66,175 | 12,351 | (53,824) | (81) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: SURVEY MONUMENT PRESERVATION in the Appendix on page 474.

129 - OFF-HIGHWAY VEHICLE FEES

Operational Summary

Agency Description:

Support facilities for off-highway vehicle use if and when such facilities are established, and monitor and control illegal use of off-highway vehicles in regional open space and wilderness parks.

At a Glance:

| | |
|--|---------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 384,409 |
| Total Final FY 2001-2002 Budget: | 190,777 |
| Percent of County General Fund: | N/A |
| Total Employees: | 0.00 |

OFF-HIGHWAY VEHICLE FEES - This fund is financed by revenue from registration fee for off-highway vehicle parks. Allowable uses of funds are: 1) planning, constructing, or operating facilities for use of off-highway vehicles; 2) controlling operations of motor vehicles where their use is prohibited; and 3) repairing facilities. Presently, only #2 is being done.

Budget Summary

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 87,145 | 87,500 | 85,085 | 71,500 | (13,585) | (16) |
| Total Requirements | 82,662 | 191,658 | 384,409 | 190,777 | (193,632) | (50) |
| FBA | 394,882 | 104,158 | 399,365 | 119,277 | (280,088) | (70) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: OFF-HIGHWAY VEHICLE FEES in the Appendix on page 475.

137 - PARKING FACILITIES

Operational Summary

Agency Description:

To provide, operate, and maintain parking facilities for County employees and for the public conducting business with the County.

Fiscal Year 2000-01 Key Project Accomplishments:

- Completed much of the previously deferred maintenance on the parking facilities.
- Beginning assessments of facility infrastructure for long term maintenance planning.

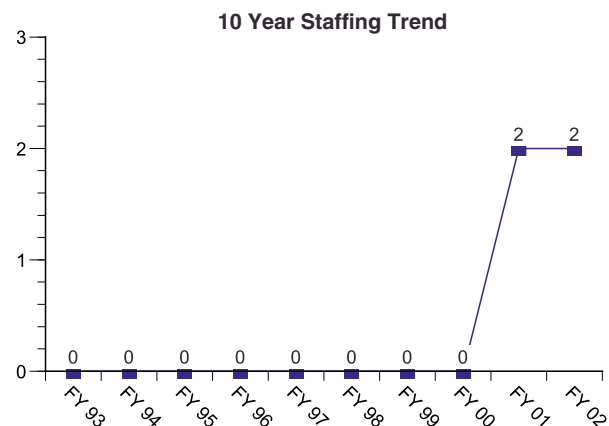
PARKING FACILITIES - This fund is used to account for cost and revenue associated with providing parking facilities to the public and employees. Segregating these funds allows for analysis of the parking facilities impact to the General Fund. Included in this fund are operating and maintenance cost for all County-owned parking lots, parking revenue associated with County-owned pay parking lots (Manches-

At a Glance:

| | |
|--|-----------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 4,421,256 |
| Total Final FY 2001-2002 Budget: | 4,916,576 |
| Percent of County General Fund: | N/A |
| Total Employees: | 2.00 |

ter, Hall of Administration, and Hutton Twin Towers lots), parking spaces leased by the County, and the County's cost associated with the Civic Center Authority lots. This fund provides financing to meet debt service obligations for the Manchester parking structures.

Ten Year Staffing Trend:



Ten Year Staffing Trend Highlights:

- Staffing previously assigned to PFRD Fund 080 was first assigned to this fund in FY 2000-2001 to better match staffing to where the services of this staff are provided.

Budget Summary

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Positions | N/A | 2 | N/A | 2 | 2 | 0 |
| Total Revenues | 4,162,451 | 4,865,500 | 5,337,177 | 4,667,000 | (670,177) | (13) |
| Total Requirements | 4,687,283 | 5,011,221 | 4,365,440 | 4,916,576 | 551,136 | 13 |
| FBA | 899,301 | 145,721 | 616,553 | 249,576 | (366,977) | (60) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: PARKING FACILITIES in the Appendix on page 476.

148 - FOOTHILL CIRCULATION PHASING PLAN

Operational Summary

Agency Description:

Provide for construction and acquisition of road, bridges and intersection improvements as outlined by FCPP adopted September 15, 1987.

Strategic Goals:

- Complete required projects according to priority and stay within the financial constraints of bond financing.

Fiscal Year 2000-01 Key Project Accomplishments:

- Prepared Request for Proposals (RFP) and retained A/E team to perform environmental analysis, obtain regulatory permits, prepare design plans and perform project management for Alton Parkway.
- Prepared cooperative agreement with the City of Lake Forest for the widening of El Toro Road intersections.

FOOTHILL CIRCULATION PHASING - Construction and acquisition of roads, bridges and intersection improvements.

El Toro Road @ Avenida De La Carlota intersection improvements are anticipated to be completed this FY. This is a City lead project and once the project is completed the County will have to pay the City for its portion of the right-of-way and construction costs.

Budget Summary

Changes Included in the Base Budget:

Preliminary design work for Alton Parkway will be started in September 2001. Construction of this road is anticipated to start in FY 2004. This project involves coordination between the Developer, County and City of Irvine for their individual sections of the roadway construction.

At a Glance:

| | |
|--|-----------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 1,355,083 |
| Total Final FY 2001-2002 Budget: | 7,580,073 |
| Percent of County General Fund: | N/A |
| Total Employees: | 0.00 |

Revitalization of El Toro Road may involve improvements to other intersections which can be funded from Unallocated Construction.

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 6,825,078 | 8,235,000 | 1,326,070 | 4,170,000 | 2,843,930 | 214 |
| Total Requirements | 1,453,741 | 5,436,671 | 1,354,484 | 7,580,073 | 6,225,589 | 460 |
| FBA | (8,889,778) | (2,798,329) | (3,265,995) | 3,410,073 | 6,676,068 | (204) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: FOOTHILL CIRCULATION PHASING PLAN in the Appendix on page 477.

15K - LIMESTONE REGIONAL PARK MITIGATION MAINT ENDOW

Operational Summary

Agency Description:

Board of Supervisors Minute Order of 11/15/94 authorized establishment of this fund. The interest from this fund is to be spent on the maintenance cost for a proposed wetlands mitigation area in the future Limestone Regional Park.

At a Glance:

| | |
|--|--------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 243 |
| Total Final FY 2001-2002 Budget: | 35,759 |
| Percent of County General Fund: | N/A |
| Total Employees: | 0.00 |

LIMESTONE RP MITIG MAINT ENDOW - This is a non-expendable endowment and trust fund. Interest earnings from a \$200,000 contribution from Foothill/Eastern Transportation Corridor Agency will be used for annual repairs and maintenance of a proposed mitigation area within the future boundary of Limestone Regional Park.

Budget Summary

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 16,342 | 7,830 | 16,427 | 16,500 | 73 | 0 |
| Total Requirements | 35,844 | 20,577 | 18,820 | 35,759 | 16,939 | 90 |
| FBA | 41,063 | 12,747 | 21,561 | 19,259 | (2,302) | (11) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: LIMESTONE REGIONAL PARK MITIGATION MAINT ENDOW in the Appendix on page 478.

400 - FLOOD CONTROL DISTRICT

Operational Summary

Agency Description:

Construct, operate and maintain flood control facilities and provide regional flood protection for Orange County.

At a Glance:

| | |
|--|------------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 61,158,742 |
| Total Final FY 2001-2002 Budget: | 81,651,628 |
| Percent of County General Fund: | N/A |
| Total Employees: | 247.00 |

Strategic Goals:

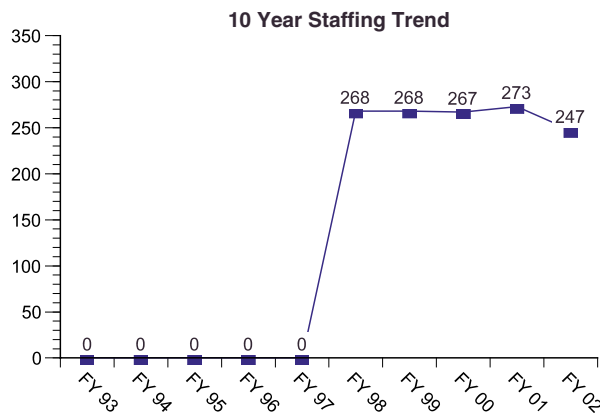
- Reduce Orange County's regional flood threat by accomplishing approximately \$12 to \$15 million in flood control capital improvements annually.
- Satisfy environmental and regulation compliance requirements of State and Federal regulatory agencies in accomplishing flood control capital improvements on schedule.

Fiscal Year 2000-01 Key Project Accomplishments:

- Completed FEMA floodplain analysis on East Garden Grove-Wintersberg and Talbert Valley Watershed.
- Completed construction on portions of:
 - Brea Creek Channel, Fullerton Creek Channel, Carbon Creek Channel, and Galivan Retarding Basin.
- Designs were completed for portions of:
 - Bolsa Chica Channel, Garden Grove Storm Channel, Huntington Beach Channel, East Garden Grove-Wintersberg Channel, and Rossmoor Pump Station.
- Completed hydrology reports for Segunda Deshecha Canada and Haster Retarding Basin.
- Implemented diversion systems in the Santa River, Talbert and Greenville-Banning Channels to treat water for bacteria.
- Funded and participated in Army Corps of Engineers watershed studies for San Juan Creek and San Diego Creek/Upper Newport Bay.
- Funded study by UCI to identify causes of bacteria within Talbert Marsh and Channel.
- Completed Phase II Environmental Site Assessment for the Laguna Canyon Channel Project.
- Prepared "Draft Waste Management Report" for the Laguna Canyon Channel Project.
- Completed Caltrans Project Report for the Laguna Canyon Project.
- Developed several cooperative agreements with developers and others to construct regional flood control system.
- Participated in stakeholder group meetings assessing Bolsa Chica Channel.

FLOOD CONTROL DISTRICT - Designing, building and maintaining flood control channels and other flood protection facilities. Monitoring potentially severe storm events and responding to emergencies. Complying with National Clean Water Act standards and related regulations for creeks, rivers and stormwater. Acquiring land and easements needed to construct flood control projects.

Ten Year Staffing Trend:



Ten Year Staffing Trend Highlights:

- The Flood Control District staffing level decreased by 26 positions due to the Public Facilities & Resources Department reorganization. The PFRD/Environmental

Resources Section transferred positions from the Flood Control District Agency 400 to the newly created Watershed Management Program Agency 034. The reorganization represents the majority of the positions transferred.

Budget Summary

Plan for Support of the County's Strategic Priorities:

The Flood Control District along with HB&P will support the PFRD/Watershed Management Program Strategic Priorities in Fiscal Year 2001-2002. The Flood Control District is budgeted to contribute approximately \$2 million to various Watershed efforts. This contribution will aid in the implementation of Watershed Management Programs and Storm Water Quality Programs.

Changes Included in the Base Budget:

Rebudgeted three capital projects worth approximately 15.1 million dollars from FY 2000-01 because of unforeseen delays.

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Positions | N/A | 273 | N/A | 247 | 247 | 0 |
| Total Revenues | 59,095,021 | 59,091,100 | 60,476,282 | 66,267,105 | 5,790,823 | 10 |
| Total Requirements | 61,907,182 | 82,084,465 | 49,182,997 | 81,651,628 | 32,468,631 | 66 |
| FBA | 23,301,277 | 22,993,365 | 27,372,530 | 15,384,523 | (11,988,007) | (44) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: FLOOD CONTROL DISTRICT in the Appendix on page 479.

Highlights of Key Trends:

- Reduce regional flood threat.

403 - SANTA ANA RIVER ENVIRONMENTAL ENHANCEMENT

Operational Summary

Agency Description:

Provide for the environmental enhancement of the Santa Ana River between Katella Avenue and Imperial Highway per agreement with Orange County Water District.

At a Glance:

| | |
|--|---------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 1,639 |
| Total Final FY 2001-2002 Budget: | 229,092 |
| Percent of County General Fund: | N/A |
| Total Employees: | 0.00 |

Budget Summary

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 17,420 | 11,500 | 16,364 | 14,000 | (2,364) | (14) |
| Total Requirements | 27,948 | 205,364 | 1,638 | 229,092 | 227,454 | 13,886 |
| FBA | 211,462 | 193,864 | 200,597 | 215,092 | 14,495 | 7 |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: SANTA ANA RIVER ENVIRONMENTAL ENHANCEMENT in the Appendix on page 480.

404 - FLOOD CONTROL DISTRICT-CAPITAL

Operational Summary

Agency Description:

Remove the flood threat from the Santa Ana River (SAR).

At a Glance:

| | |
|--|------------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 4,441,703 |
| Total Final FY 2001-2002 Budget: | 72,802,437 |
| Percent of County General Fund: | N/A |
| Total Employees: | 0.00 |

Strategic Goals:

- Complete construction of the SAR Mainstem Project.
- Obtain additional funding to complete SAR project, including Prado Dam.

Fiscal Year 2000-01 Key Project Accomplishments:

- Completed Landscaping 2nd phase on Lower SAR.
- Completed channel construction of Lower SAR, Reach 8.
- Initiated landscaping of Lower SAR, Reach 8.
- Initiated SEIR/EIS and section 7 consultation with the Corps of Engineers on the Prado Dam Project and Lower SAR, Reach 9.
- Acquired consultant services for HMA, property management, appraisal, legal and environmental support for the proposed Prado Dam Project and the Lower SAR, Reach 9.
- Submitted request for Federal funding to U.S. Senate and Congressional Appropriations committees to support the SAR and Prado Dam Projects.
- Submitted claims for \$ 4.1M to State Department of Water Resources.
- Provided documentation to the Corps of Engineers to support Federal audit for credit decisions on project expenditures.
- Negotiated MOU with Corps of Engineers to implement the Project Cooperation Agreement.
- Negotiated cost share agreement with the Riverside and San Bernardino Flood Control Districts for land acquisition in Santa Ana Canyon.
- Purchased 50 acres of property required for the Prado Dam Project.
- Completed construction of a bike trail extension in a cooperative project with the City of Costa Mesa on the West side of the SAR from Victoria Street to PCH.
- Annexed surplus Seven Oaks Dam property into the City of Highland.
- Provided evaluation to State Water Resources Control Board regarding reallocation of Water Rights on the SAR.

FLOOD CONTROL DIST - CAPITAL - Provide overall Project Management and Coordination with U.S. Army Corps of Engineers on requirements to meet SAR and Prado

Dam Project construction schedule. Endeavor to obtain additional funding to complete SAR projects including Prado Dam.

Budget Summary

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 28,479,921 | 39,452,489 | 32,074,082 | 9,115,000 | (22,959,082) | (72) |
| Total Requirements | 87,344,376 | 59,174,470 | 11,170,451 | 72,802,437 | 61,631,986 | 552 |
| FBA | 86,389,385 | 19,721,981 | 24,493,193 | 63,687,437 | 39,194,244 | 160 |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: FLOOD CONTROL DISTRICT-CAPITAL in the Appendix on page 481.

Highlights of Key Trends:

- Remove the flood threat from the Santa Ana River.
- Complete construction of the SAR Mainstem Project.
- Obtain additional funding to complete SAR project, including Prado Dam.

405 - HARBORS, BEACHES & PARKS CSA 26

Operational Summary

Agency Description:

To preserve and interpret the best of our natural and historic resources, expanding opportunities for healthy outdoor recreation, and contributing importantly to Orange County's quality of life.

Strategic Goals:

- Enhance protection and public education of natural and cultural resources.
- Support and maintain an infrastructure that is safe and for the benefit of the public.
- Enhance HBP facilities operations efficiency, maintenance, and public services.

Fiscal Year 2000-01 Key Project Accomplishments:

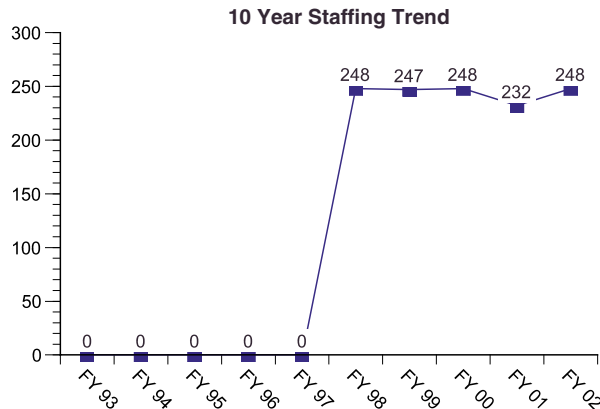
- Completed acquisition of 960 acres of land including additions to O'Neill and Laguna Coast Parks.
- Completed construction of Muth Interpretive Center at Upper Newport Bay Nature Preserve.
- Completed construction of Harbor Patrol Headquarters Building in Newport Harbor.
- Completed construction of two new restrooms at Mile Square Park.
- Completed construction of Trabuco Creek Bike Trail Bridge over State Route 241.
- Completed construction of Caspers Park Interpretive Center/Office Expansion.
- Restored HBP reserves to a \$12 million capital reserve and a \$6 million annual cash flow reserve.

HARBORS, BEACHES & PARKS - This budget funds the operation and maintenance of the County's Regional Harbors, Beaches & Parks system. This fund also finances some capital projects at Dana Point Tidelands and Newport Tidelands depending on priority of projects and availability of funding. Tidelands revenues are used to reimburse this fund for those projects as funds are available.

At a Glance:

| | |
|--|------------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 46,426,535 |
| Total Final FY 2001-2002 Budget: | 73,905,967 |
| Percent of County General Fund: | N/A |
| Total Employees: | 248.00 |

Ten Year Staffing Trend:



Ten Year Staffing Trend Highlights:

- Public Facilities & Resources Department (PFRD) came into existence in Fiscal Year 1997-1998. The Harbors, Beaches, & Parks Division included 248 staff positions at that time.

- Harbors, Beaches & Parks current staff level is 232 positions. In Fiscal Year 2001-2002 an additional 11 positions will be transferred from various PFRD funds to Fund 405 to more accurately report staffing cost in Agency 405. The majority of the positions to be transferred are from the PFRD/Geomatics Division.

Budget Summary

Plan for Support of the County's Strategic Priorities:

Harbors, Beaches & Parks Fund, Agency 405 will support the PFRD/Watershed Management Program, Fund 100-Agency 034, Strategic Priorities Plan in Fiscal Year 2001-2002. The Harbors, Beaches & Parks Agency is budgeted to contribute approximately \$945,000 to various Watershed Strategic Priority projects. This contribution will aid in the implementation of Watershed Management Programs and Storm Water Quality Programs. These funds will also be used to coordinate compliance with the Federal Total Maximum Daily Load (TMDL) for impaired waters.

Approved Budget Augmentations and Related Performance Results:

| Unit/Amount | Description | Performance Plan | Ref. Num. |
|--|---|---|-----------|
| 1 Park Ranger II--Zoo Education Supervisor Amount:\$ 0 | Position will develop, supervise, and conduct education programs at Irvine Park Zoo. | Conduct school tours (180 students/week); train docents; open Harbor Seal exhibit | 405-001 |
| 1 Office Tech--Carbon Canyon & Craig Regional Parks Amount:\$ 0 | Position will restore full-time office support to both parks | Inc public prop perm proc by 190; fac reserv by 317; annual day passes by 263; gen add'l \$97K in rev | 405-002 |
| 1 Sr. Park Ranger--Upper Newport Bay Preserve & Interpretive Center Amount:\$ 0 | Educate public of proper use of preserve, enforce regulations, oversee maint & restoration projects | Dev curriculum for 150 school programs; dev 3-4 restoration projects; give 75 public tours | 405-003 |

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Positions | N/A | 232 | N/A | 248 | 248 | 0 |
| Total Revenues | 43,681,651 | 47,159,997 | 47,154,865 | 66,868,831 | 19,713,966 | 42 |
| Total Requirements | 51,214,891 | 55,166,978 | 47,135,204 | 73,905,967 | 26,770,763 | 57 |
| FBA | 15,101,859 | 8,006,981 | 6,133,649 | 7,037,136 | 903,487 | 15 |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: HARBORS, BEACHES & PARKS CSA 26 in the Appendix on page 482.

458 - COUNTY SERVICE AREA #4 -LEISURE WORLD

Operational Summary

Agency Description:

Provide for development and maintenance of local public parks, residential street sweeping and road related landscape maintenance.

Strategic Goals:

- To maintain Iglesia Park and the Iglesia Park Community Center. These two items are located on the remaining property of this CSA. The Agency plans to transfer these two assets to the newly incorporated city of Aliso Viejo in the near future.

CSA #4 LEISURE WORLD - This fund appropriates revenue for landscape maintenance, park operations and maintenance, and street sweeping services in a previously unincorporated area.

At a Glance:

| | |
|--|---------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 93,332 |
| Total Final FY 2001-2002 Budget: | 689,376 |
| Percent of County General Fund: | N/A |
| Total Employees: | 0.00 |

Budget Summary

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 305,851 | 466,845 | 542,935 | 288,157 | (254,778) | (47) |
| Total Requirements | 318,044 | 673,355 | 88,909 | 689,376 | 600,467 | 675 |
| FBA | 216,550 | 206,510 | 279,949 | 401,219 | 121,270 | 43 |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: COUNTY SERVICE AREA #4 -LEISURE WORLD in the Appendix on page 483.

459 - N. TUSTIN LANDSCAPE & LIGHTING ASSESSMENT DISTRICT

Operational Summary

Agency Description:

Provide for the acquisition, development and maintenance of local public parks and equestrian trails, and road-related landscape maintenance.

At a Glance:

| | |
|--|---------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 110,753 |
| Total Final FY 2001-2002 Budget: | 985,807 |
| Percent of County General Fund: | N/A |
| Total Employees: | 0.00 |

N/TUSTIN LNDSCP/LTG ASMT DST - This fund appropriates revenue for park landscape, equestrian trails and road related maintenance in an unincorporated area.

Budget Summary

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 346,635 | 283,438 | 338,459 | 291,474 | (46,985) | (14) |
| Total Requirements | 159,708 | 924,258 | 266,804 | 985,807 | 719,003 | 269 |
| FBA | 404,899 | 640,820 | 648,441 | 694,333 | 45,892 | 7 |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: N. TUSTIN LANDSCAPE & LIGHTING ASSESSMENT DISTRICT in the Appendix on page 484.

468 - COUNTY SERVICE AREA #13 -LA MIRADA

Operational Summary

Agency Description:

Provide for residential street sweeping and maintenance of local collector sewers.

At a Glance:

| | |
|--|-------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 4,357 |
| Total Final FY 2001-2002 Budget: | 6,534 |
| Percent of County General Fund: | N/A |
| Total Employees: | 0.00 |

CSA #13 LA MIRADA - This fund appropriates revenue for sewer maintenance and street sweeping services in an unincorporated area.

Budget Summary

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 8,917 | 6,329 | 3,010 | 1,988 | (1,022) | (34) |
| Total Requirements | 2,906 | 12,080 | 10,345 | 6,534 | (3,811) | (37) |
| FBA | 5,979 | 5,751 | 10,889 | 4,546 | (6,343) | (58) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: COUNTY SERVICE AREA #13 -LA MIRADA in the Appendix on page 485.

475 - COUNTY SERVICE AREA #20 - LA HABRA

Operational Summary

Agency Description:

This fund appropriates revenue for sewer maintenance services in an unincorporated area.

At a Glance:

| | |
|--|--------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 39 |
| Total Final FY 2001-2002 Budget: | 13,703 |
| Percent of County General Fund: | N/A |
| Total Employees: | 0.00 |

Budget Summary

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 9,433 | 8,687 | 10,174 | 8,874 | (1,300) | (13) |
| Total Requirements | 34,451 | 12,222 | 10,973 | 13,703 | 2,730 | 25 |
| FBA | 33,740 | 3,535 | 8,722 | 4,829 | (3,893) | (45) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: COUNTY SERVICE AREA #20 - LA HABRA in the Appendix on page 486.

477 - COUNTY SERVICE AREA #22-E YORBA LINDA

Operational Summary

Agency Description:

This fund appropriates revenue for park landscape maintenance services of a local public park in an unincorporated area.

At a Glance:

| | |
|--|--------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 39,162 |
| Total Final FY 2001-2002 Budget: | 33,790 |
| Percent of County General Fund: | N/A |
| Total Employees: | 0.00 |

Budget Summary

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 33,002 | 32,103 | 20,909 | 26,957 | 6,048 | 29 |
| Total Requirements | 30,990 | 47,819 | 32,848 | 33,790 | 942 | 3 |
| FBA | 8,947 | 15,716 | 13,758 | 6,833 | (6,925) | (50) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: COUNTY SERVICE AREA #22-E YORBA LINDA in the Appendix on page 487.

506 - IRVINE COAST ASSESSMENT DISTRICT 88-1 CONSTRUCTION

Operational Summary

Agency Description:

Construct and/or acquire road improvements funded from bond proceeds issued on behalf of the Assessment District.

At a Glance:

| | |
|--|------------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 1,224,177 |
| Total Final FY 2001-2002 Budget: | 33,299,720 |
| Percent of County General Fund: | N/A |
| Total Employees: | 0.00 |

Fiscal Year 2000-01 Key Project Accomplishments:

- Projects related to this fund have been completed. Cash in the fund will be used to retire bonds early. Once this is accomplished the fund will be closed and eventually deleted.

Budget Summary

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 2,622,836 | 2,350,000 | 2,194,523 | 1,900,000 | (294,523) | (13) |
| Total Requirements | 11,315,567 | 5,150,700 | 1,227,962 | 33,299,720 | 32,071,758 | 2,612 |
| FBA | 35,911,739 | 2,800,700 | 27,439,600 | 31,399,720 | 3,960,120 | 14 |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: IRVINE COAST ASSESSMENT DISTRICT 88-1 CONSTRUCTION in the Appendix on page 488.

120 - PUBLIC LIBRARY

Operational Summary

Mission:

To provide a framework for the delivery of relevant resources meeting the educational, cultural, civic, business and life-long learning needs for residents of all ages of member communities through a network of locally focused libraries in which knowledgeable, service-oriented staff members offer access to information, books and other materials in a variety of formats utilizing contemporary technology and which encourage and foster reciprocal community involvement to educate, inform and enrich the lives of a diverse population.

Strategic Goals:

- Develop programs and services for adults, children and new readers which meet and anticipate their goals.
- Maintain technological currency with the needs and capabilities of library users.
- Provide library service from functional, safe and attractive facilities.
- Maximize cost effective access to all library resources for all library users.
- Increase the efficiency and productivity of internal departmental operations to maximize the availability of resources for public services.

Key Outcome Measures:

| Performance Measure | FY 2000-2001 Results | FY 2001-2002 Target | How are we doing? |
|---|--|---|--|
| CUSTOMER UTILIZATION OF LIBRARY SERVICES What: Measures amount of use by the public of library products and services. Why: Identifies quantity of delivered services. | 6,037,146 items borrowed (+1.1%); 95,128 children attended programs (+13%) | Increase items borrowed by 2%; childrens' attendance by 5% | Both measures are increasing. Goals are likely to be exceeded. |
| PROGRESS IN CONSTRUCTING LIBRARY FACILITIES ON SCHEDULE AND WITHIN BUDGET. What: Measures our ability to complete construction plans. Why: Growing population requires increased library infrastructure. | Foothill Ranch design complete; Wheeler branch architect selected. | Complete 75% of Foothill construction; complete Wheeler design. | Both projects proceeding on schedule. |
| EFFECTIVENESS OF UTILIZING NEW TECHNOLOGY TO PROVIDE PUBLIC SERVICES What: Measures customer use of new technology to receive service products. Why: Identifies return on technology investment. | 173,000 hours of internet access provided to the public. | Increase internet use total hours by 5%. | Measure is increasing. |

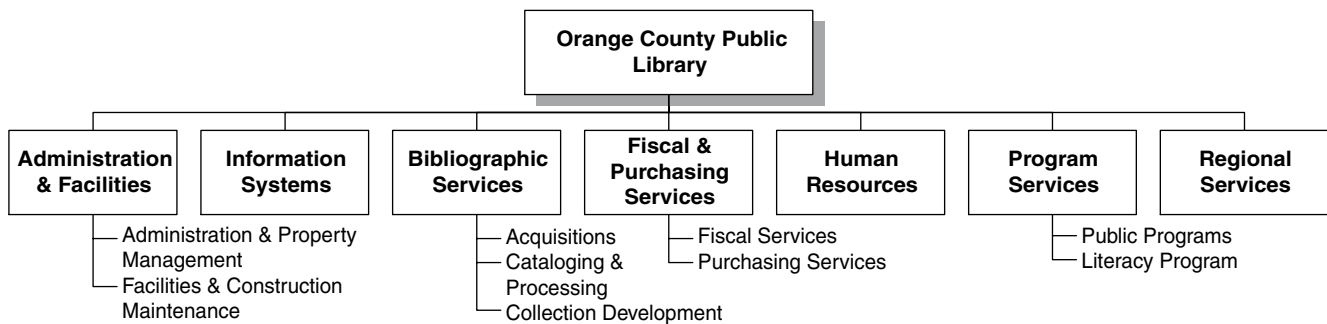
Key Outcome Measures: (Continued)

| Performance Measure | FY 2000-2001 Results | FY 2001-2002 Target | How are we doing? |
|---|----------------------|----------------------|--|
| COST PER TRANSACTION What: Measures our ability to maximize effectiveness utilizing benchmark services. Why: Identifies overall departmental productivity. | \$2.95 (-1.7%) | Maintain level cost. | Trends indicate objective will be met. |

Fiscal Year 2000-01 Key Project Accomplishments:

- Increase of 2% in number of items borrowed from libraries, for an all time record of over 6 million items.
- Completed installation of public access Internet terminals, resulting in an increase of 500% in Internet use hours to 344,000 annually.
- Implemented remote user Internet access via OCPL website to information databases, including indexed, full text periodical and reference book articles.

Organizational Summary



ADMINISTRATION & FACILITIES - Manages maintenance and construction of all library facilities, real estate functions, overall administrative coordination, delivery service, warehouse operations, and support for the Library Advisory Board.

INFORMATION SYSTEMS - Provides support, planning and management of all library computer systems including internal operations network, interfaces with other County systems, circulation and other internal mainframe functions, patron access catalog and remote access databases, public and staff internet access, and library web site.

BIBLIOGRAPHIC SERVICES - Manages evaluation and selection of books, databases, periodicals and all other library materials, orders and receives all new materials, catalogs and processes new materials for use in libraries and repairs damaged materials.

PURCHASING & FISCAL SVCS - Manages the overall financial operations of the Library, including the budget, purchasing, developer agreement management, operational allocation process, payroll and accounts payable.

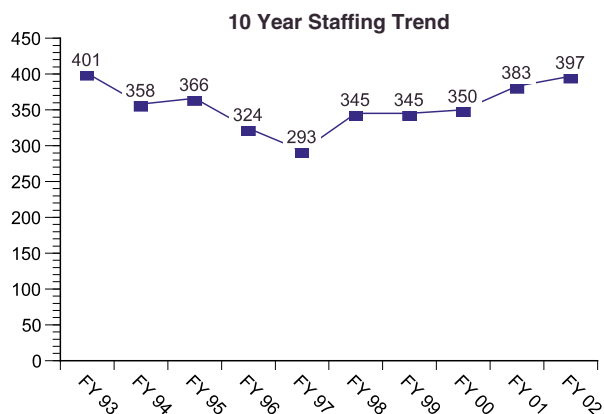
HUMAN RESOURCES - Manages all personnel and HR functions including recruitments, Performance Incentive Program coordination, staff training, disciplinary actions and departmental Labor Management Committee.

PROGRAM SERVICES - Provides coordination of Children's Services program systemwide, public relations, Orangewood Children's Home Library, Adult Literacy program and Adult Services planning.

REGIONAL SERVICES - Manages operations of 27 branch libraries, public services provided in libraries, and coordination of construction/renovation projects.

COUNTY LIBRARIAN - Provides overall management of department and serves as staff to Library Advisory Board.

Ten Year Staffing Trend:



Ten Year Staffing Trend Highlights:

- Staffing generally decreased until FY 97-98 because of the loss of funds due to the ERAF shift. Staffing has expanded starting in FY 97-98 with the addition of more days per week of public service at all branch libraries, with the assumption of the decentralized functions of Human Resources, Purchasing, Facilities maintenance and construction, increased materials budgets and the addition of a new library in Aliso Viejo.

Budget Summary

Plan for Support of the County's Strategic Priorities:

The Orange County Public Library will continue to work with the Library Advisory Board to provide and develop services responsive to the communities we serve. Staff will continue to implement and refine the organizational measurement program (ROG) and the employee pay for performance program (PIP). In line with the Strategic Plan, the Library will continue to operate solely through dedicated Library Fund revenue sources, maintaining a Net County Cost of zero.

Changes Included in the Base Budget:

The Library is adding a net of five positions to provide staffing for a new minimum level of service which includes a full time Children's Librarian for all branches as established by the Library Advisory Board, Literacy Program, Adult Services and materials processing. The Library Advisory Board has approved all positions. The base budget has a larger than normal fund balance available as a result of vacancies, deferred maintenance and unexpected revenue from ERAF and capital projects reimbursements for the Rancho Santa Margarita branch library.

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Positions | N/A | 383 | N/A | 397 | 397 | 0 |
| Total Revenues | 26,428,986 | 24,681,268 | 26,415,908 | 27,866,001 | 1,450,093 | 5 |
| Total Requirements | 24,849,592 | 25,440,873 | 25,681,317 | 30,011,886 | 4,330,569 | 17 |
| FBA | (1,604,810) | 759,605 | 319,499 | 2,145,885 | 1,826,386 | 572 |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: PUBLIC LIBRARY in the Appendix on page 489.

Highlights of Key Trends:

- Utilization of library services and resources by the public continues to increase. Circulation count of items borrowed from libraries set an all time record of over 6 million in the last fiscal year, with an anticipated increase of 2% in fiscal year 2000-01. Use of public

access Internet terminals in libraries continues to increase. Most notably, access to library collections, databases and services from remote locations via the library website are increasing significantly as more functionality is added to the site. Between April 2000

and April 2001 remote access transactions increased from 2,000 per month to over 16,000. This rate of increase is expected to continue.

Budget Units Under Agency Control

| No. | Agency Name | ADMINISTRATION & FACILITIES | INFORMATION SYSTEMS | BIBLIOGRAPHIC SERVICES | PURCHASING & FISCAL SVCS | HUMAN RESOURCES | PROGRAM SERVICES | REGIONAL SERVICES | COUNTY LIBRARIAN | TOTAL |
|-----|-----------------------------|--------------------------------|------------------------|---------------------------|-----------------------------|--------------------|---------------------|----------------------|---------------------|------------|
| 119 | PUBLIC LIBRARY - CAPITAL | 3,453,881 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 3,453,881 |
| 120 | PUBLIC LIBRARY | 6,674,933 | 808,203 | 8,282,040 | 916,911 | 308,423 | 577,404 | 12,173,287 | 270,685 | 30,011,886 |
| | Total | 10,128,814 | 808,203 | 8,282,040 | 916,911 | 308,423 | 577,404 | 12,173,287 | 270,685 | 33,465,767 |

119 - PUBLIC LIBRARY - CAPITAL

Operational Summary

Agency Description:

Capital Projects Fund for Public Library

Strategic Goals:

- Provide library service from functional, safe and attractive facilities.

Key Outcome Measures:

| Performance Measure | FY 2000-2001 Results | FY 2001-2002 Target | How are we doing? |
|---|---|---|--------------------------------------|
| CONSTRUCTION PROJECT PROGRESS What: Measures progress of construction projects. Why: Growing population requires increased library infrastructure. | Design for Foothill Ranch branch completed. Architect for Wheeler branch selected. | Complete 75% of Foothill construction. Complete Wheeler design. | Projects are proceeding on schedule. |

Fiscal Year 2000-01 Key Project Accomplishments:

- Design for Foothill Ranch branch completed.
- Architect for Wheeler branch selected.

PUBLIC LIBRARY - CAPITAL - Construction of Public Library capital projects.

Budget Summary

Changes Included in the Base Budget:

All capital projects, Foothill Ranch, Irvine Heritage Park, Irvine Wheeler Ranch and El Toro will continue from FY 2000-01.

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 765,577 | 3,854,143 | 2,303,644 | 2,216,017 | (87,627) | (4) |
| Total Requirements | 1,042,775 | 3,872,467 | 776,990 | 3,453,881 | 2,676,891 | 345 |
| FBA | 268,003 | 18,324 | 83,836 | 1,237,864 | 1,154,028 | 1,377 |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: PUBLIC LIBRARY - CAPITAL in the Appendix on page 493.

15G - HOUSING/COMMUNITY DEVELOPMENT

Operational Summary

Mission:

To work in partnership with Orange County's diverse communities to preserve and expand affordable housing opportunities, strengthen economic viability and enhance the livability of neighborhoods.

Strategic Goals:

- Increase and preserve affordable housing opportunities, especially for those most in need.
- Enhance the livability of the County's target neighborhoods.
- Strengthen economic viability of communities.

Key Outcome Measures:

At a Glance:

| | |
|--|------------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 19,736,856 |
| Total Final FY 2001-2002 Budget: | 48,301,040 |
| Percent of County General Fund: | N/A |
| Total Employees: | 124.00 |

| Performance Measure | FY 2000-2001 Results | FY 2001-2002 Target | How are we doing? |
|--|---|---|--|
| BY THE YEAR 2005, INCREASE AFFORDABLE HOUSING OPPORTUNITIES (AHO) IN ORANGE COUNTY (OC) BY 4,084. What: AHO's include creating new and preserving existing affordable hsg units and adding hsg vouchers. Why: Increasing affordable housing opportunities will help bridge the gap in the OC housing market. | H&CD committed over \$8M for the development of over 600 affordable housing units through an RFP and Notice of Funding Availability. HUD awarded H&CD 740 additional Section 8 Housing Vouchers for up to \$5 M annually in monthly rental assistance payments. | Targets include issuing a \$10M Notice of Funding Availability (NOFA) for the development of 333 affordable housing units and applying for and receiving additional Housing Vouchers from HUD for rent subsidies. | H&CD has applied for 820 additional Housing Vouchers for FY 2001. Loan commitments, the second step in the multi-year process of affordable housing development, will be obtained for projects identified in the FY 00-01 RFP and NOFA processes. H&CD will be issuing the \$10M NOFA in early summer. |
| HALT THE FURTHER DETERIORATION OF THE COUNTY'S NEIGHBORHOODS BY PROVIDING HOME IMPROVEMENT LOANS. What: The Neighborhood Preservation Program provides loans for exterior home improvements such as painting. Why: Home and neighborhood revitalization directly contributes to higher levels of community pride. | H&CD completed 50 mobile home and 35 single-family home rehabilitations, 2 storm drain projects, 4 street improvements, and 3 community center rehab projects. These projects contributed to the enhancement of OC neighborhoods and are in support of the County's community revitalization efforts. | H&CD targets include providing loans, through the Neighborhood Preservation Program, to repair 50 mobile home units, 60 single family homes for Seniors and working families countywide and by completing construction of 6 public works projects worth over \$1 million in capital improvements. | To increase efficiencies, H&CD is developing strategies to monitor and implement changes in the Neighborhood Preservation Program. We are also increasing education efforts in the target areas in order to garner more support for the Neighborhood Revitalization efforts. |

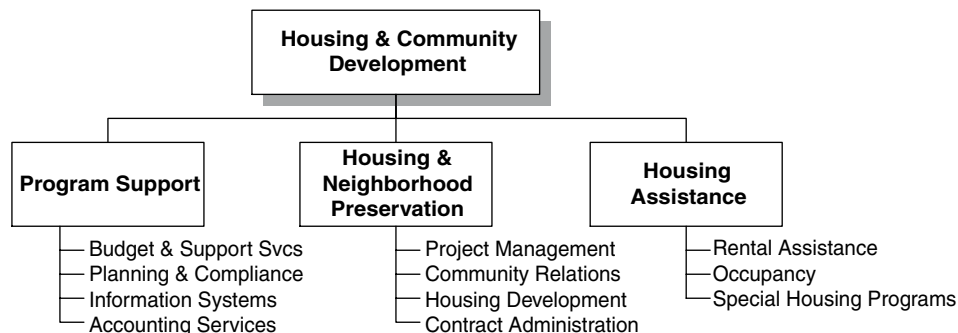
Key Outcome Measures: (Continued)

| Performance Measure | FY 2000-2001 Results | FY 2001-2002 Target | How are we doing? |
|---|---|---|---|
| THE IMPACT OF COUNTY SUBSIDIZED HOUSING ON THE PERCENTAGE OF INCOME SPENT ON HOUSING PER HOUSEHOLD. What: Increase the amount of County subsidized housing to help stabilize the OC affordable housing market. Why: A stable, affordable housing market in OC will make it possible for people to work and live in OC. | H&CD was awarded an increase in the Fair Market Rent limit. This increases the units available to rent by increasing the amount of client subsidy. H&CD also successfully competed for CalWORKs incentive funds to provide additional assistance in overcoming housing barriers faced by clients. | H&CD will reevaluate programs to maintain the 30% rent to income ratio and will maintain cooperation and collaboration with the other local Housing Authorities to optimize resources and alternatives for low-income renters. H&CD will also provide assistance to 220 families in the CalWORKs program. | As the CalWORKs program develops within H&CD, we are able to provide more housing assistance to a larger number of clients faster than ever. As a result, H&CD must reopen the Section 8 Program waiting list in the Spring of 2001 in order to utilize all of our available housing assistance vouchers. |

Fiscal Year 2000-01 Key Project Accomplishments:

- H&CD assisted over 20,000 Orange County residents (7,000 + Households) with monthly rent payments through the Section 8 rental assistance program, for a total disbursement of over \$50 million in annual rental subsidies to 3,500 participating property owners.
- The Family Unification Program, a cooperative effort between SSA and H&CD has leased up 170 families and thereby reunited over 350 children with their parents. Over the 4-years of the program 436 children were removed from Foster Care and reunited with their parents.
- H&CD was successful in receiving \$6.8 million in funds through the 1999 Homeless Continuum of Care Application Process. This resulted in funding for 83 new transitional beds, maintenance of 80 existing beds and over 4,000 families and individuals being served.
- H&CD made available \$500,000 in NDAPP funds in 2000 to assist eligible low and low-moderate income families in the unincorporated areas to meet down-payment requirements for homeownership up to \$25,000 or 10% of the purchase price of a home, whichever is less.
- As reported in the 1999-2000 Consolidated Annual Performance and Evaluation Report to HUD, 126 units (mobile home and single family home) were rehabilitated through H&CD's Neighborhood Preservation Program and various other programs.
- H&CD issued a \$10 million Rental Housing RFP and a Notice of Funding Availability (NOFA) in June 2000, for the purpose of funding new affordable rental housing in Orange County. Eight (8) proposals were received, which included over \$9 million in requested funds. Two (2) projects from the 1999 RFP and seven (7) projects from the 2000 RFP/NOFA were awarded funds in FY 00-01 for a total of over 650 units.
- H&CD developed the NOFA program designed to allow developers requesting \$20,000 or less per unit to apply for \$2 million in funds on a "first-come, first-serve" basis for affordable permanent rental development.

Organizational Summary



EXECUTIVE ADMINISTRATION - Plans, organizes, administers and directs all functions related to the implementation of policies, programs and projects developed under legal authority granted to the Orange County Housing Authority, Community Development Block Grant and other similar federal, state and Orange County Development Agency funded programs. Has full authority for development and implementation of policies and objectives for all functions delegated to the Department. Includes Human Resources which is responsible for establishing an appropriate framework within the department so it can competitively attract and retain the best qualified employees while meeting appropriate laws and regulations. It also provides assistance in employee relations to ensure personnel standards and quality assurance.

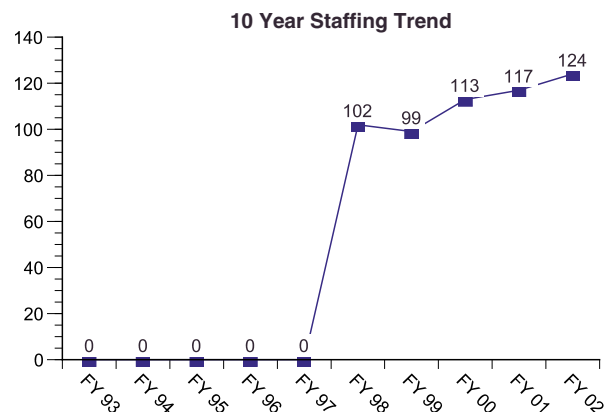
PROGRAM SUPPORT - The Program Support Division is responsible for administrative support services and overall H&CD program compliance and grant management. This function performs audit, compliance and legal notification procedures required by HUD for both the Housing & Neighborhood Preservation and Housing Assistance functions. It also conducts the Annual Application Review and Homeless Assistance Program application processes which are competitive processes by which funding is allocated to projects. This division also includes the following functions: outstationed accounting services, purchasing, facilities management, budget, payroll, petty cash, legislative analysis, computer services and general support services.

HOUSING/NEIGHBORHOOD PRESERVATION - The Housing and Neighborhood Preservation Division oversees Community Relations activities as well as eligible activities such as public infrastructure, housing rehabilitation, community development, preservation and improvement activities, and

local economic development through the administration of sub-recipient contracts funded through the CDBG, HOME, ESG, NDAPP and SuperNOFA programs. This division also oversees new housing development in the creation of multi-family rental and homeownership projects for low and very low-income families.

HOUSING ASSISTANCE - The Housing Assistance Division consists of the Rental Assistance/Leasing Section, the Residency/Occupancy Section and the Special Housing Programs Section. Primarily, this division performs the operations of the Housing Authority. The Housing Authority is responsible for the disbursement of over \$50 million in housing subsidies annually which is not reflected in the County's budget figures. In addition, the Housing Assistance Division operates special programs such as Family Self-Sufficiency, which is designed to assist families participating in the Section 8 Rental Assistance Program to become economically independent from public assistance, and Family Unification, which assists in the reunification of children with their parents.

Ten Year Staffing Trend:



Ten Year Staffing Trend Highlights:

- Prior to FY 97/98, H&CD was a division of EMA with all of the associated positions budgeted in EMA's budget.
- H&CD staff expanded in FY 99/00 due to an organizational assessment performed by the CEO and an outside consultant. Staff was added to the following sections: 13 to the Housing Assistance activity and 1 to the Program Support activity.
- H&CD staff increased by 4 in FY 00/01 due to the expansion of the Shelter Plus Care program (1), and the creation of CalWORKs program (3). Both of these programs are in the Housing Assistance Division.
- In FY 01/02 H&CD staff increased by 7 due to an award of 740 additional housing vouchers for the Section 8 program. Six staff members were added to the Housing Assistance section and 1 was added to the Program Support section.

Budget Summary

Plan for Support of the County's Strategic Priorities:

The budget is slightly lower than anticipated in the 2001 Strategic Plan due to a change in the calculation of H&CD's carry forward. In the FY 2001-2002 budget, H&CD netted the unexpended amount of funding against the prior and current year's encumbrances. This hadn't been done previously which, in past years, resulted in an inflated amount carried forward and re-appropriated. H&CD's large carry forward and negative fund balance is due, in part, to an increase in multi-year projects budgeted and encumbered at 100% in the first year. Multi-year projects budgeted this way include those funded by the SuperNOFA Homeless Assistance grant process and the block grant programs. They were budgeted this way to facilitate the County's progress toward its strategic goal in addressing housing, homelessness, and infrastructure needs.

Affordable housing development is one of the Board of Supervisors' top 10 Strategic Priorities for Year 2001. It was also identified as Orange County's highest priority need in the 1999/00 ARC funding process. As a result, over \$2 million in new block grant funding was allocated toward this

program in FY 2001-2002. Combined with over \$7 million appropriated in Fund 117 and the Redevelopment and General Fund commitments to affordable housing development, over \$20 million is available for new projects in FY 2001/2002. H&CD will continue to solicit projects through a Request for Proposal (RFP) and Notice of Funding Availability (NOFA) for development of affordable rental housing. The goal of the RFP and NOFA is to promote the development of permanent affordable rental housing for Orange County's very-low and low-income households by providing favorable financing.

During the 2000 Strategic Priority process, the Board of Supervisors and the CEO committed \$5 million from Fund 15B, CEO Single Family Housing, for the development of affordable housing. The funds will not be budgeted in 15G until the results of the 2001 NOFA are known. H&CD will adjust Fund 15G when the funds have been committed to projects and are expected to be spent.

Changes Included in the Base Budget:

The Proposed Budget includes over \$7.1 million in new block grant funding and over \$19 million in carry forward block grant and SuperNOFA funding for projects currently in progress and not anticipated to be completed by the end of FY 00/01. The grant amount is up slightly from the FY 00/01 amount of \$6.9 million.

The increased Board emphasis on the development of affordable housing has also resulted in an increased allocation of Redevelopment funds. The project funds budgeted in Fund 15G are over \$8 million which is up from \$5 million in prior years. The H&CD budget also includes additional funds from Redevelopment budgets 171 and 428 for administrative charges.

Although H&CD submitted the 2000 SuperNOFA Continuum of Care application for \$8 million in projects, none of the awarded amount is reflected in H&CD's FY 01-02 Budget. This is due to the change in application from an H&CD consolidated application to an Associated Application. This change allows individual applicants to contract directly with HUD for their funding. Funds are still appropriated in Fund 15G for administration of the 1998 and 1999 contracts and in Fund 117 for the ongoing planning responsibilities of the SuperNOFA applications and the Continuum of Care system.

In September 2000, H&CD was awarded an additional 740 rental assistance Housing Vouchers by HUD for a revised allocation of 8,169 vouchers. This resulted in an additional 7 positions and \$500,000 in the Proposed Budget.

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Positions | N/A | 117 | N/A | 124 | 124 | 0 |
| Total Revenues | 16,554,153 | 47,127,946 | 14,830,929 | 55,011,918 | 40,180,989 | 271 |
| Total Requirements | 15,496,765 | 47,127,946 | 15,352,399 | 48,301,040 | 32,948,641 | 215 |
| FBA | (7,865,413) | 0 | (7,045,158) | (6,710,878) | 334,280 | (5) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: HOUSING/COMMUNITY DEVELOPMENT in the Appendix on page 494.

Highlights of Key Trends:

- As the supply of affordable new and resale housing has decreased there is additional pressure for programs to address the needs of first time and low to moderate-income homebuyers.
- By the year 2005, the regional housing needs assessment for the unincorporated areas of Orange County calls for 22,687 additional housing units, about 7,000 of which should be affordable to very low and low income households. Of the 7,000 units, 2,950 units are needed for low-income households and 4,084 for very low-income households.
- One-third of Orange County residents either pay more than 30% of their income on housing, live in overcrowded units, live far from their workplace, or live in substandard or poorly maintained housing.
- Job and population growth are far outstripping housing supply.
- According to a 1998 study by the Center on Budget and Policy Priorities of 45 major metropolitan areas in the country, Orange County has the highest ratio of low income renters to low-cost housing units, with 4 low-income renters for every one low-cost unit.
- Many low-income families live in motels because those units do not require deposits and rent week to week.
- It is estimated that there are over 18,600 homeless persons in Orange County on any given night.
- As rents increase, landlords are less likely to participate in Section 8 rental assistance programs unless HUD subsidies are commensurate with market rates.

Budget Units Under Agency Control

| No. | Agency Name | EXECUTIVE ADMINISTRATION | PROGRAM SUPPORT | HOUSING/NEIGHBORHOOD PRESERVTN | HOUSING ASSISTANCE | TOTAL |
|-----|--|--------------------------|-----------------|-----------------------------------|--------------------|------------|
| 117 | OC HOUSING AUTHORITY- OPERATING RESERVE | 28,293 | 120,000 | 7,921,957 | 170,279 | 8,240,529 |
| 15G | HOUSING/COMMUNITY DEVELOPMENT | 2,245,427 | 2,808,844 | 37,796,480 | 5,450,289 | 48,301,040 |
| | Total | 2,273,720 | 2,928,844 | 45,718,437 | 5,620,568 | 56,541,569 |

117 - OC HOUSING AUTHORITY-OPERATING RESERVE

Operational Summary

Agency Description:

To work in partnership with Orange County's diverse communities to preserve and expand affordable housing opportunities, strengthen economic viability and enhance the livability of neighborhoods.

Strategic Goals:

- Increase and preserve affordable housing opportunities, especially for those most in need.

Fiscal Year 2000-01 Key Project Accomplishments:

- The 2000 RFP and Notice of Funding Availability (NOFA) committed over \$500,000 in Operating Reserve funding for affordable housing development. It is expected that these funds will be expended over the next year as the project develops.
- H&CD has committed to funding the Cold Weather Shelter Program through 2002 and has allocated \$170,000 annually for that purpose.
- The Operating Reserve funds the enhancement payments for the Family Self-Sufficiency (FSS) Program. FSS currently has 472 active participants, which exceeds the required participation level of 465 families as of September 30, 2000. Twenty families graduated from the program and received \$126,907.24 from their combined escrow accounts and are now off all public assistance.

O.C. HSE AUTHORITY/OPER RESERV - Operating Reserve funds may be used only for the cost of ongoing administration of Housing and Community Development's (H&CD) current Section 8 rental assistance program or for other housing related purposes consistent with state and local law, including the development of affordable housing as approved by the Board.

At a Glance:

| | |
|--|-----------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 702,701 |
| Total Final FY 2001-2002 Budget: | 8,240,529 |
| Percent of County General Fund: | N/A |
| Total Employees: | 0.00 |

Budget Summary

Plan for Support of the County's Strategic Priorities:

The County's Strategic Priorities include the continued development of affordable housing opportunities and enhanced support for the County's Continuum of Care for the homeless. In the FY 01/02 budget, H&CD has allocated over \$7 million for the development of affordable housing opportunities and has continued to allocate funding for the Cold Weather Shelter program.

Changes Included in the Base Budget:

The FY 2001/2002 Budget reflects an increase in funding allocated to the development of affordable housing in Orange County. This is due to projected expenditures during FY 2000/2001 increasing the total amount available.

This budget is also used to support Housing Assistance activities, Housing Supportive Services contracts, and the planning and coordination of the Countywide Homeless Continuum of Care.

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 2,530,353 | 1,019,682 | 1,265,274 | 1,404,075 | 138,801 | 11 |
| Total Requirements | 841,969 | 4,564,917 | 923,065 | 8,240,529 | 7,317,464 | 793 |
| FBA | 5,225,773 | 3,545,235 | 6,603,220 | 6,836,454 | 233,234 | 4 |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: OC HOUSING AUTHORITY-OPERATING RESERVE in the Appendix on page 497.

Highlights of Key Trends:

- Reference Fund 15G, Housing & Community Development's Operating Budget.

280 - AIRPORT - OPERATING

Operational Summary

Mission:

To plan, direct, and provide high quality aviation services for Orange County in a safe and efficient manner.

Strategic Goals:

- Maintain a safe operating environment for aviation and the traveling public.
- Provide superior customer service.
- Provide superior aviation facilities.
- Honor the County's commitment to the 1985 Settlement Agreement.
- Operate John Wayne Airport as a financially efficient, effective, and self-supporting aviation facility.
- Recruit, train and maintain a qualified work force.

Key Outcome Measures:

| Performance Measure | FY 2000-2001 Results | FY 2001-2002 Target | How are we doing? |
|---|---|---|--|
| SUCCESSFULLY PASS THE ANNUAL PART 139 AIRPORT CERTIFICATION INSPECTION. What: Annual inspection conducted by FAA to ensure JWA is being operated in a safe and efficient manner. Why: To maintain a safe operating environment for aviation and the traveling public. | The Annual Part 139 inspection is conducted by the FAA during which time all elements specified in Federal Aviation Regulation (FAR) Part 139 are examined. JWA successfully passed the Annual Part 139 inspection. | Successfully pass the Annual Part 139 Inspection by continuing to perform twice daily airfield inspections and identify, report and correct any items that deviate from FAA specifications. | We have always successfully passed the Annual Part 139 Inspection. |
| ACHIEVE 85% GOOD OR EXCELLENT RATING IN THE "AIR TRANSPORTATION USER" AND "AIRPORT TENANT" SURVEYS. What: Surveys conducted to measure passenger/tenant satisfaction with Airport's service and performance. Why: To ensure that our service to customers and tenants meets or exceeds their expectations. | Results of the August 2000 "Air Transportation User" survey indicated that 91 percent of JWA users rated the services provided as good or excellent. | Conduct "Airport Tenant" survey and meet or exceed benchmark score of 85% or higher rating of services as good or excellent. | Overall results of 1998 survey indicated that 96% of JWA users rated JWA's services as good or excellent. During 1999 a marketing campaign was developed that was rooted in the findings of the 1998 Air Transportation User Survey. |
| ENFORCE ALL PROVISIONS OF THE PHASE 2 COMMERCIAL AIRLINE ACCESS PLAN AND REGULATION. What: Balances need for airport with desire of community for environmentally responsible operation at JWA. Why: Compliance conveys message that County will completely fulfill its commitment to its residents. | 100 percent compliance with Plan allocation provisions. | Continue to maintain 100 percent compliance. | The provisions of the Phase 2 Commercial Airline Access Plan, other than the allocation provisions that have been amended, became effective on October 1, 1990. Since the Plan's implementation, the County has been in 100 percent compliance of its duties and responsibilities. |

At a Glance:

| | |
|--|-------------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 60,249,766 |
| Total Final FY 2001-2002 Budget: | 141,941,538 |
| Percent of County General Fund: | N/A |
| Total Employees: | 135.00 |

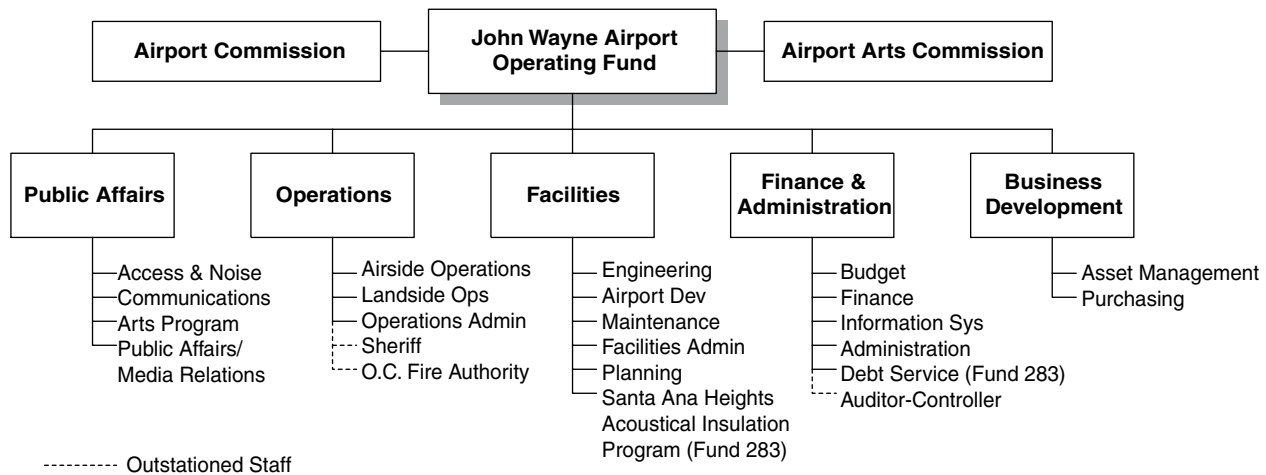
Key Outcome Measures: (Continued)

| Performance Measure | FY 2000-2001 Results | FY 2001-2002 Target | How are we doing? |
|---|---|---|--|
| ENSURE THAT AIRPORT IS AVAILABLE TO SERVE THE NEEDS OF THE TRAVELING PUBLIC AND AVIATION COMMUNITY. What: Terminal, parking facilities, roadways, runways, and taxiways must remain open and operational. Why: The County's traveling, shipping, and flying public rely on JWA to remain open as much as possible. | JWA was available to the traveling public and the aviation community during all operating hours. | Continue to keep Airport open and available to the traveling public and aviation community. | JWA accommodates commercial aviation 16 hours per day (except Sundays when it is open 15 hours) and is open 24 hours a day for general aviation services. The majority of new construction and preventive maintenance does not occur during core operating hours. |
| MAINTAIN COMPETITIVE RATES AND CHARGES AND A DEBT SERVICE COVENANT WHICH EXCEEDS 125 PERCENT. What: Requires Airport net revenues each year of at least 125% of debt service requirement for FY. Why: Provides JWA with opportunity to demonstrate to financial community its ability to repay debt. | Successful management of the Airport's rates and charges structure is critical to generate revenue required to fulfill JWA's obligations under the indenture. The debt coverage ratio for FY 99-00 was 237 percent. | Continue to maintain 100 percent compliance. | Since issuance of the 1987 Airport Revenue Bonds, JWA has consistently exceeded the rate covenant imposed by indenture. JWA obtained an initial A+ rating from Fitch on its revenue bonds while maintaining an A rating from Standard & Poor's. Also, JWA received an upgrade by Moody's to an Aa3 rating. |
| MAINTAIN AN AVERAGE VACANCY RATE OF 6 PERCENT. What: The vacancy rate reflects the percentage of authorized positions that are unfilled during the year. Why: Ensures that customers are served in an efficient and effective manner with reduced overtime costs. | The average vacancy factor for calendar year 2000 was 6.3 percent. | Continue to maintain an average 6 percent vacancy rate. | Although JWA has several positions that have been very difficult to fill due to market rate issues, it has been able to maintain an average vacancy rate of 6.42 percent over the last three years. JWA will work with CEO/Human Resources to address classification and market issues. |

Fiscal Year 2000-01 Key Project Accomplishments:

- The Airport passed the annual FAA Airport Certification Inspection and all quarterly Security Inspection Audits - yardsticks by which FAA measures airport safety and security.
- JWA met the challenge of Y2K with flying colors; the Airport experienced no facility or operational difficulties associated with Y2K.
- The Air Transportation User Survey conducted in August 2000 demonstrated a high level of customer satisfaction with the Airport and its facilities.
- Through the use of volunteer "Ambassadors" and tour guides, JWA continued to provide superior customer service to travelers and community organizations like the Boy Scouts and Make-a-Wish Foundation.
- Substantial design and construction work was completed on airfield, roadway, parking structure, general aviation, and passenger terminal projects to make JWA more secure, more efficient, more environmentally responsible, and more user-friendly.
- The provisions of the Phase 2 Access Plan were fully enforced.
- JWA maintained its very high bond rating and continued its record of sound fiscal management.
- PIP and MPP were fully implemented and Airport managers and staff received appropriate training to make these programs successful.

Organizational Summary



PUBLIC AFFAIRS - Responsible for public information and media relations. Provides implementation and enforcement of Phase 2 Access Plan and Settlement Agreement. Responsible for the interaction with federal, state and local agencies regarding legislation. Coordinates and monitors environmental activities. Oversees the Airport Communications Operator function. Administers the Airport Arts Program.

OPERATIONS - Coordinates and administers the general functional activities related to John Wayne Airport including airport security, parking, ground transportation, liaison with commercial airline tenants, fixed-base operations, and aircraft tiedown facilities. Monitors airfield operations including enforcement of Airport rules and regulations. Administers outstationed departments such as the Sheriff-Coroner and Fire Authority for provision of law enforcement, aircraft rescue and firefighting.

FACILITIES - Provides engineering studies and analysis of facility requirements for the Airport. Responsible for all aspects of Airport facilities maintenance. Provides planning and project management of the capital development program, including the design and construction of Airport facilities. Ensures compliance of Airport facilities with environmental regulations. Prepares grant applications for federal financial assistance under the FAA Airport Improvement Program. Provides staff support to the Airport Land Use Commission.

FINANCE & ADMINISTRATION - Includes the divisions responsible for Airport finance, budget, debt management, staff services, administrative support, human resources and information systems. Provides staff support to the Airport Commission. Includes outstationed Auditor-Controller staff who provide accounting and financial services, and monitor Airport revenue and expenditures.

BUSINESS DEVELOPMENT - Coordinates and is responsible for concession planning and revenue development activities and the negotiation and administration of leases, licenses, concession agreements and easements. Responsible for the acquisition and sale of real property and related property appraisal and condemnation issues. Coordinates with the Finance and Administration division on the annual audit and record survey of Airport tenants. Responsible for all aspects of Airport procurement including preparing bid/proposal solicitations, issuing agency contracts, interfacing with vendors, maintaining a record of department purchases and conducting internal procurement value analysis. Administers the Disadvantaged Business Enterprise (DBE) Program.

JOHN WAYNE AIRPORT - OPERATING - Includes the office of the Airport Director and outstationed County Counsel who provides legal counsel on County and Airport matters.

Ten Year Staffing Trend:



Ten Year Staffing Trend Highlights:

- The 18 positions which were added in FY 99-00 for Interim Use were transferred mid-year FY 00-01 to the LRA (Fund 13K).
- Two positions were transferred mid-year FY 99-00, one from the CEO and one from PDSD; these are reflected in FY 00-01 position total.

- In FY 99-00 one position was transferred from the Auditor-Controller to John Wayne Airport to more appropriately reflect finance-related activities performed; one position was added to help manage the increased requirements in the Information Systems section; 18 positions were added for Interim Use pending further Board direction.

- In FY 97-98 four positions were deleted and 13 positions were added to reflect the impact of the County's decentralization of Real Property, Human Resources, Facilities, County Counsel and Purchasing activities.

Budget Summary

Plan for Support of the County's Strategic Priorities:

As an enterprise fund, John Wayne Airport is self-supporting through revenues it generates and receives no monies from the County General Fund. Revenues are utilized to operate the Airport, provide for repayment of revenue bonds, fund facility capital improvement or maintenance projects, and support aviation planning.

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Positions | N/A | 153 | N/A | 135 | 135 | 0 |
| Total Revenues | 72,059,792 | 89,319,430 | 71,840,388 | 87,254,473 | 15,414,085 | 21 |
| Total Requirements | 66,627,983 | 130,664,473 | 63,386,456 | 141,941,538 | 78,555,082 | 124 |
| FBA | 57,151,805 | 41,345,043 | 68,938,525 | 54,687,065 | (14,251,460) | (21) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: AIRPORT - OPERATING in the Appendix on page 498.

Budget Units Under Agency Control

| No. | Agency Name | PUBLIC AFFAIRS | OPERATIONS | FACILITIES | FINANCE & ADMINISTRATION | BUSINESS DEVELOPMENT | MASTER LEASE | JOHN WAYNE AIRPORT - OPERATING | TOTAL |
|--------------|---------------------------------------|----------------|------------|------------|-----------------------------|-------------------------|--------------|--------------------------------------|-------------|
| 280 | AIRPORT - OPERATING | 2,493,221 | 14,336,998 | 67,010,240 | 55,132,031 | 792,065 | 0 | 2,176,983 | 141,941,538 |
| 283 | JOHN WAYNE AIRPORT DEBT SERVICE | 0 | 0 | 2,375,000 | 54,317,705 | 0 | 0 | 0 | 56,692,705 |
| Total | | 2,493,221 | 14,336,998 | 69,385,240 | 109,449,736 | 792,065 | 0 | 2,176,983 | 198,634,243 |

283 - JOHN WAYNE AIRPORT DEBT SERVICE

Operational Summary

Agency Description:

This fund provides appropriations for debt service and related trustee activity associated with Airport Revenue Bonds, Series 1993 and 1997. The bonds were issued to refinance debt associated with the construction of the JWA Master Plan, including the Thomas F. Riley Terminal, which opened to the traveling public in September 1990. Funding was subsequently added for all facets of the Santa Ana Heights Acoustical Insulation Program.

At a Glance:

| | |
|--|------------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 24,310,052 |
| Total Final FY 2001-2002 Budget: | 56,692,705 |
| Percent of County General Fund: | N/A |
| Total Employees: | 0.00 |

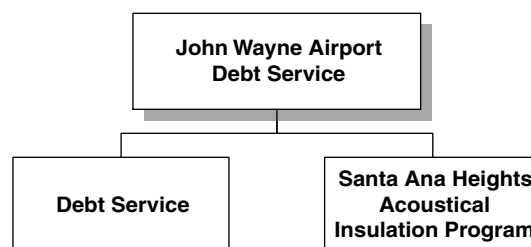
Strategic Goals:

- Continue to comply with debt defeasance requirements in accordance with the Bond Indenture.
- Maintain Moody's Aa3 rating, Standard and Poor's A rating and Fitch's A+ rating on JWA revenue bonds.
- Continue the Santa Ana Heights Acoustical Insulation Program.

Fiscal Year 2000-01 Key Project Accomplishments:

- Met or exceeded all financial and reporting requirements under the JWA Bond Indenture.
- In compliance with EIR 546, completed acoustical insulation of 42 homes as part of the Santa Ana Heights Acoustical Insulation Program.
- By demonstrating the ability to maintain strong financial operations and repay its bonded debt, JWA obtained an initial A+ rating from Fitch on its revenue bonds while maintaining an A rating from Standard & Poor's. Additionally, JWA received an upgrade by Moody's to an Aa3 rating. The Aa3 rating is significant in that it is the first Moody's has assigned to any airport other than an international gateway.

Organizational Summary



JWA DEBT SERVICE - Provides appropriations to account for all debt service and related trustee activity as required under the JWA Bond Indenture.

SA HEIGHTS ACOUSTICAL PROGRAM - Provides appropriations to account for all aspects of the Santa Ana Heights Acoustical Insulation Program.

MASTER LEASE - Responsibility transferred to Local Redevelopment Authority (Fund 13K).

Budget Summary

Plan for Support of the County's Strategic Priorities:

As an enterprise fund, John Wayne Airport is self-supporting through revenues it generates and receives no money from the County General Fund. Net revenues from JWA operations are utilized to provide for repayment of Airport Revenue Bonds.

Insure that FAA grants and OCDA funding are available to support the Santa Ana Heights Acoustical Insulation Program.

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 25,209,616 | 36,711,622 | 30,888,333 | 39,298,278 | 8,409,945 | 27 |
| Total Requirements | 24,997,677 | 55,004,722 | 25,260,714 | 56,692,705 | 31,431,991 | 124 |
| FBA | 47,568,554 | 18,293,100 | 46,681,324 | 17,394,427 | (29,286,897) | (63) |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: JOHN WAYNE AIRPORT DEBT SERVICE in the Appendix on page 503.

Highlights of Key Trends:

■ Since issuance of the 1987 Airport Revenue Bonds, JWA has consistently exceeded the 125 percent debt service covenant imposed by the Bond Indenture.

■ JWA has successfully completed Acoustical Insulation on an increasing number of homes each year since inception of the Santa Ana Heights Acoustical Insulation Program.

299 - INTEGRATED WASTE MGMT DEPT ENTERPRISE

Operational Summary

Mission:

To meet the solid waste disposal needs of Orange County through efficient operations, sound environmental practices, strategic planning, innovation and technology.

Strategic Goals:

- Implement environmentally sound landfill operation practices that maximize capacity and accommodate in-County and imported waste.
- Develop and retain a productive and effective workforce.
- Manage Department finances to sustain the long-term viability of the Enterprise Fund.
- Develop, maintain and implement strategies/options to meet Orange County's long-range waste disposal needs.
- Administer and monitor unincorporated area waste collection services to ensure compliance with franchise agreements.

Key Outcome Measures:

| Performance Measure | FY 2000-2001 Results | FY 2001-2002 Target | How are we doing? |
|---|---|---|--|
| MAINTAIN A MINIMUM OF 3.5:1 REFUSE TO COVER RATIO AT THE LANDFILLS. What: Measures the effectiveness of the Department's efforts in maximizing landfill capacity. Why: Landfill capacity is a finite resource that is costly to replace. | The current cover material ratio range is 3:1 to 4:1 for all three landfills. | The Department will continue to incorporate alternative daily cover in order to maximize its refuse to soil ratio. | The Department is maintaining a 3.5:1 cover ratio at all landfills. |
| MAXIMIZE FINANCIAL AND OPERATIONAL EFFORTS TO MAINTAIN IN-COUNTY CONTRACTED GATE FEE AT \$22 PER TON. What: Measures effectiveness of operations in maintaining a stable waste flow and competitive gate fees. Why: A competitive gate fee is essential to ensuring a predictable waste stream and revenue base. | Two significant Waste Disposal Agreements have been extended to 2015 providing additional waste flow and financial stability. | The Department's target is to secure extension of a majority of Waste Disposal Agreements during 2002. | The Department has successfully maintained a gate fee of \$22 per ton since 1997. |
| MAXIMIZE IMPORTATION REVENUE TRANSFER TO THE GENERAL FUND WITH A MINIMUM OF \$12 MILLION IN 2001. What: Measures the effectiveness of our marketing efforts and management of operating expenditures. Why: Imported waste revenue provides support for bankruptcy related obligations. | Current trend indicates that the \$12 million goal will be exceeded. | The Department target for 2002 is to provide the maximum transfer of funds in support of bankruptcy obligations. | Two major importation contracts have already been renewed. |
| OPTIMIZE LANDFILL DESIGN PRACTICES TO MAXIMIZE DISPOSAL CAPACITY. What: Analysis of master plans, phasing plans and design assumptions. Why: Optimization of design practices is essential to efficient landfill capacity utilization. | Optimization of design practices has lead to rephasing of design features and expanded capacity at both the FRB and Olinda Alpha landfills. | The Department target is to continue to optimize landfill design and construction features to expand landfill capacity. | Design optimization has reduced cover material costs and increased landfill useful life. |

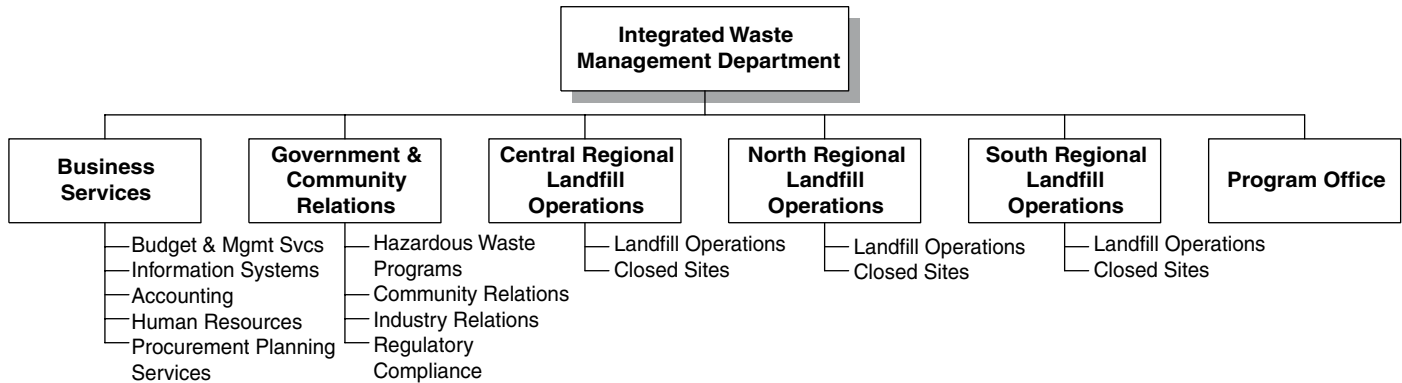
At a Glance:

| | |
|--|-------------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 80,864,830 |
| Total Final FY 2001-2002 Budget: | 266,735,922 |
| Percent of County General Fund: | N/A |
| Total Employees: | 239.00 |

Fiscal Year 2000-01 Key Project Accomplishments:

- The Department received the Solid Waste Association of North America (SWANA) Gold Award for Excellence in Planning and Financial Management.
- The Department successfully delineated long range solid waste disposal options in conjunction with Orange County Cities.

Organizational Summary



BUSINESS SERVICES - Conducts Department accounting, budget, finance, and procurement activities; implements and maintains Department information systems; manages human resources needs of the Department; and provides various general support services.

GOVT & COMMUNITY RELATIONS - Oversees regulatory compliance issues; administers Waste Disposal Agreements; manages contracts for solid waste services in the unincorporated areas; responds to customer issues; conducts community and media relations, marketing and outreach activities; coordinates the operations of regional Household Hazardous Waste Collection Centers; and coordinates with the cities in the administration of the County Integrated Waste Management Plan.

CENTRAL REGIONAL LANDFILL OPS - Operates and manages daily activities at the Frank R. Bowerman Landfill which accepts and disposes of residential, commercial and industrial non-hazardous waste; manages and maintains five closed landfill sites.

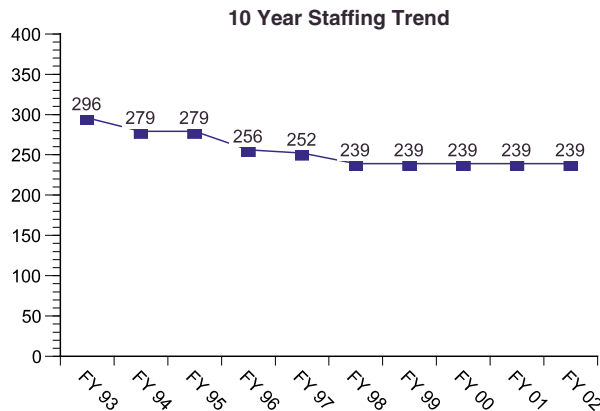
NORTH REGIONAL LANDFILL OPS - Operates and manages daily activities at the Olinda Alpha Landfill which accepts and disposes of residential, commercial and industrial non-hazardous waste; manages and maintains ten closed landfill sites.

SOUTH REGIONAL LANDFILL - Operates and manages daily activities at the Prima Deshecha Landfill which accepts and disposes of residential, commercial and industrial non-hazardous waste; manages and maintains five closed landfill sites.

PROGRAM OFFICE - Facilitates long-range planning; identifies, analyzes and manages liability matters related to IWMD solid waste operations; develops strategies for resolving legal issues; conducts negotiations with developers, municipalities and property owners.

IWMD DIRECTOR - Directs the administrative management activities of the Department to accomplish Agency goals and strategic priorities.

Ten Year Staffing Trend:



Ten Year Staffing Trend Highlights:

- Staffing trend illustrates the success the Department has had in streamlining operations to reduce costs, maximize efficiency, and better serve our customers.

Budget Summary

Plan for Support of the County's Strategic Priorities:

The Department will continue to market available landfill capacity for importation of waste and transfer net importation revenue to the General Fund for bankruptcy related obligations.

Changes Included in the Base Budget:

The Department's Fiscal Year 2001/02 budget of \$266,735,922 increased by \$169,292,610 from prior fiscal year. This increase reflects accounting methodology changes requiring the inclusion of retained earnings in a formal reserve and revenues previously not budgeted.

The base budget reflects an increase in appropriations for equipment as a result of the Department's Long-Term Heavy Equipment Study finding that supported purchasing of equipment over leasing.

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Positions | N/A | 239 | N/A | 239 | 239 | 0 |
| Total Revenues | 92,994,344 | 93,463,716 | 92,724,145 | 94,837,995 | 2,113,850 | 2 |
| Total Requirements | 77,672,848 | 97,443,310 | 73,787,650 | 266,735,922 | 192,948,272 | 261 |
| FBA | 121,472,681 | 3,979,594 | 147,096,750 | 171,897,927 | 24,801,177 | 17 |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: INTEGRATED WASTE MGMT DEPT ENTERPRISE in the Appendix on page 504.

Budget Units Under Agency Control

| No. | Agency Name | BUSINESS SERVICES | GOVT & COMMUNITY RELATIONS | CENTRAL REGIONAL LANDFILL OPS | NORTH REGIONAL LANDFILL OPS | SOUTH REGIONAL LANDFILL | PROGRAM OFFICE | IWMD DIRECTOR | TOTAL |
|-----|---|--------------------|-------------------------------|----------------------------------|--------------------------------|----------------------------|------------------|----------------|--------------------|
| 285 | IWMD BANKRUPTCY RECOVERY PLAN | 18,810,965 | 0 | 0 | 0 | 0 | 0 | 0 | 18,810,965 |
| 299 | INTEGRATED WASTE MGMT DEPT ENTERPRISE | 183,896,643 | 18,649,146 | 24,255,108 | 15,217,564 | 23,023,043 | 1,486,734 | 207,684 | 266,735,922 |
| | Total | 202,707,608 | 18,649,146 | 24,255,108 | 15,217,564 | 23,023,043 | 1,486,734 | 207,684 | 285,546,887 |

285 - IWMD BANKRUPTCY RECOVERY PLAN

Operational Summary

Agency Description:

To continue to receive and dispose of imported waste and provide net imported waste revenue to the General Fund in support of the County debt defeasance strategic priority.

At a Glance:

| | |
|--|------------|
| Total FY 2000-2001 Actual Expenditure + Encumbrance: | 18,746,250 |
| Total Final FY 2001-2002 Budget: | 18,810,965 |
| Percent of County General Fund: | N/A |
| Total Employees: | 0.00 |

Final Budget and History:

| Sources and Uses | FY 1999-2000 Actual Exp/Rev ⁽¹⁾ | FY 2000-2001 Final Budget | FY 2000-2001 Actual Exp/Rev ⁽¹⁾ | FY 2001-2002 Final Budget | Change from FY 2000-2001 Actual | |
|--------------------|---|------------------------------|---|------------------------------|------------------------------------|---------|
| | | | | | Amount | Percent |
| Total Revenues | 19,171,733 | 12,069,749 | 18,675,219 | 18,503,366 | (171,853) | (1) |
| Total Requirements | 18,992,864 | 12,069,749 | 18,746,250 | 18,810,965 | 64,715 | 0 |
| FBA | 10,823 | 0 | 189,692 | 307,599 | 117,907 | 62 |

(1) Amounts include prior year expenditures and exclude current year encumbrances. Therefore, the totals listed above may not match Total FY 2000-01 Actual Expenditure + Encumbrance included in the "At a Glance" section.

Detailed budget by expense category and by activity is presented for agency: IWMD BANKRUPTCY RECOVERY PLAN in the Appendix on page 509.

Budget Summary

Plan for Support of the County's Strategic Priorities:

See Fund 299

Changes Included in the Base Budget:

See Fund 299

9A1 Major Activities

The purpose of these funds is to construct facility improvements, address funding and expenditure activity, and provide for administrative expenses of the Orange County Development Agency (OCDA) and the CEO Single Family Housing Fund.

9A1 Funds

| Agency Number | OC DEVELOPMENT AGENCY | FY 2001-2002 Appropriations | | FY 2001-2002 Revenue | |
|---------------|--|-----------------------------|------------|----------------------|------------|
| 15A | OCDA SANTA ANA HEIGHTS 93 BOND ISSUE | \$ | 21,351,409 | \$ | 21,351,409 |
| 15B | CEO SINGLE FAMILY HOUSING | | 7,598,363 | | 7,598,363 |
| 15E | OCDA/S.A. HEIGHTS 93 BOND LOW/MOD INCOME HOUSING | | 139,981 | | 139,981 |
| 171 | OCDA LOW/MODERATE INCOME HOUSING | | 13,512,736 | | 13,512,736 |
| 173 | OCDA-SANTA ANA HEIGHTS-SURPLUS | | 11,395,642 | | 11,395,642 |
| 411 | NDAPP PROJECTS, 1992 ISSUE A | | 959,288 | | 959,288 |
| 412 | NDAPP-LOW/MODERATE HOUSING 92 ISSUE A | | 2,887,570 | | 2,887,570 |
| 413 | OCDA - NDAPP, 1992 ISSUE B | | 960,143 | | 960,143 |
| 414 | OCDA-NDAPP 92 IS.B LOW/MODERATE HOUSING | | 2,244,745 | | 2,244,745 |
| 425 | OCDA-NEIGHBORHOOD PRESERV/DEVEL-CONSTRUCTION | | 664,370 | | 664,370 |
| 428 | OCDA (NDAPP) - SURPLUS | | 302,500 | | 302,500 |